10802919 - GAU: 1654

17W

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For: METHODS FOR DELIVERING COMPOUNDS INTO A CELL

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O.B. 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed is Form PTO/SB/08a listing documents for consideration by the Examiner during the prosecution of the subject application. All cited art was previously disclosed or cited in parent application Serial No. 08,841,169 filed April 29, 1997. Copies of the cited art are available in the parent application.

It is believed that no fee or certification is required. However, if a fee is required, please charge our deposit account no. 19-0733.

Respectfully submitted,

Dated: June 28, 2004

By:

Sarah A. Kagan Reg. No. 32,141

Banner & Witcoff, Ltd. Customer No. 22907

1080291,9. 70 GAU: 1654

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent OFFICE OF COMMERCE

U.S. Patent OFFICE OFFICE OF COMMERCE

U.S. Patent OFFICE OFF

ond the reperson reductor record to be record	raic required to respond to a concessor re	I I II I I I I I I I I I I I I I I I I	
Substitute for form 1449A/PTO	Complete if Known		
	Application Number	TBA -10/802,919	
INFORMATION DISCLOSURE	Filing Date	TBA-3/18/04	
STATEMENT BY APPLICANT	First Named Inventor	Evan C. Unger, et al	
	Art Unit	TBA-1654	
(use as many sheets as necessary)	Examiner Name	TBA -Young	
Reet 1 of 71	Attorney Docket Number	006086.00020	

핑			U.S. PATENT	OCUMENTS	
xaminer	examiner Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
/MA/		US-5,846,517	12/08/1997	Unger, et al.	
8	I	US- 5,705,187	01/06/1998	Unger	
	-	US- 5,773,024	06/30/2998	Unger, et al.	"
0000		US- 5,770,222	06/23/1998	Unger, et al.	
		US- 5,830,430	11/03/1998	Unger, et al.	
0000		US- 5,997,898	12/07/1999	Unger	
00000		US- 6,033,645	03/07/2000	Unger, et al.	
		US- 6,088,613	07/11/2000	Unger	
	•	US- 6,123,923	09/26/2000	Unger, et al.	
8000	-	US- 6,139,819	10/31/2000	Unger, et al.	
		US- 6,143,276	11/07/2000	Unger	
W		US- 6,403,056	06/11/2002	Unger	
/MA/		US- 6,414,439	07/02/2002	Unger, et al.	
		US-			-
		US-			

		FOREIGN PA	TENT DOCU	MENTS		
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	ted   Danas as Dalawart	
<b></b>				,		

Examiner Signature	/Maury Audet/	Date Considered	6/27/08	
-----------------------	---------------	--------------------	---------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

10802919 - GAU: 1654

JUN 2 8 2004

<del></del>			- 30H Z 8 2004	4.0	Page 2	of 71	
•	Form	PTO-1449 Modifi	PADEMARKO	Docket No. 006086.00020	Serial No		
	List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Evan C. Unger et_al		
		epartment of Commer t and Trademark Offic		Filing Date TBA	Group TBA		
	· · · · · · · · · · · · · · · · · · ·	U. S	. PATENT DO	CUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Subclass	
/MA/	AA	4,621,023	11/4/86	Redziniak, et al.	428	402.2	
***************************************	AB	5,194,188	03/16/93	Guitierrez	264	4.1	
	AC	5,283,255	02/01/94	Levy, et al.	514	410	
	AD	5,380,411	01/10/95	Schlief	204	157.15	
0000000	AE	6,068,857	05/30/00	Weitschies, et al.	424	489	
	AF	6,159,445	12/12/00	Klaveness, et al.	424	9.6	
V	AG	US 6,261,537 B1	07/17/01	Klaveness, et al.	424	9.52	
/MA/	АН	US 6,331,289 B1	12/18/01	Klaveness, et al.	424	9.52	
		FOREI	GN PATENT I	DOCUMENTS			
Examiner Initial		Document No.	Date	Country	Translation YES NO		
						<u> </u>	
EXAMINE	<b>R</b> /	Maury Audet/		DATE CONSIDE	RED 6/	27/08	

Receipt date: 06/28/2004 10802919 ~ GAU: 1654 Page 3 of 71 Form PTO-1449 Modified Docket No. Serial No. TBA 006086.00020 List of Patent and Publications **Applicant** Cited by Applicant Evan C. Unger, et al (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA . TBA **U. S. PATENT DOCUMENTS** Examiner **Document** Initial No. Date Name Class Subclass FOREIGN PATENT DOCUMENTS Examiner **Translation** Initial Document No. Date **Country** YES NO /MA/ 563 WO 91/18612 12/12/91 **PCT** /MA/ 564 WO 95/32006 11/30/95 **PCT** /MA/ 565 WO 98/04292 02/05/98 **PCT EXAMINER** /Maury Audet/ 6/27/08 DATE CONSIDERED

	<del></del>			·	Page 4 o	£ 71	
· l	Form l	PTO-1449 Mod	ified	Docket No.	Serial No.		
				006086.00020	TBA		
	C	Patent and Publicat ited by Applicant eral sheets if neces		Applicant Unger et al	,		
1		partment of Comm		Filing Date	Group	<del> </del>	
<del></del>	Patent	and Trademark Off		TBA	TBA		
	<del>                                     </del>	Τ	J. S. PATENT	DOCUMENTS			
Examiner Initial		Document No.	Date	Name	Class	Subclass	
/MA/	AA	4,466,442	08/21/84	Hilmann et al.	128	653	
00000	AB	4,621,023	11/04/86	Redziniak et al.	428	402.2	
	AC	4,919,895	04/24/90	Heldebrant et al.	422	129	
	AD	5,194,188	03/16/93	Guitierrez	264	4.1	
	AE	5,380,411	01/10/95	Schlief	204	157.15	
	AF	5,512,268	04/30/96	Grinstaff et al.	424	9.322	
	AG	5,567,415	10/22/96	Porter	424	9.52	
	AH	5,846,517	12/08/98	Unger	424	9.52	
	AI	5,849,727	12/15/98	Porter et al.	514	156	
	AJ	5,997,898	12/07/99	Unger	424	450	
	AK	6,056,938	05/02/00	Unger et al.	424	1.21	
/MA/	AL	6,068,857	05/30/00	Weitschies et al.	424	489	
		FOR	EIGN PATE	NT DOCUMENTS			
Examiner Initial		Document No.	Date	Country	Tran YES NO	slation )	
/MA/	AM	0 633 030 A1	01/11/95	EP			
/MA/	AN	92/05806	04/16/92	WIPO			
EXAMINE	D /8 8	aury Audet/		DATE CONSTRU			
SARAHVIII VIL	/1/18	adily nadeli		DATE CONSIDER	RED 6/27/0	, o	

				Page 5 of 71		
F	'orm P'	TO-1449 Modified	Docket No.	Serial No.		
			006086.00020	TBA		
	List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Unger, et al			
	_	artment of Commerce nd Trademark Office	Filing Date TBA	Group TBA		
	OTHE	R DOCUMENTS (Includin	g Author, Title, Date, Per	rtinent Pages, Etc.)		
/MA/	AO	Nomura et al., "US Contrast l Microbubbles: A Preliminary English language translatio	Repor,t" Jpn. J. Med. Ultras	nor with Helium Gas conics, Vol. 18, No. 5 (1991) (fu		
100000000000000000000000000000000000000	AP	1 -	ntrast Videointensity After In	with Fifty Percent Dextrose travenous Injection in Human raphy, Vol. 7, No. 5, pp. 465-47		
***************************************	AQ	Porter, et al., "Noninvasive Identification of Acute Myocardial Ischemia and Reperfusion With Contrast Ultrasound Using Intravenous Perfluorpropane-Exposed Sonicated Dextro Albumin," <i>Journal of the American College of Cardiology</i> , Vol. 26, No. 1, pp. 33-40; 199				
000000000000000000000000000000000000000	AR	Porter, et al., "Visually Disce Intravenous Injection of Soni Molecular Weight, Less Solu Vol. 25, No. 2, pp. 509-515, l	cated Dextrose Albumin Mic ble Gases," <i>Journal of the An</i>	<b>~</b> .		
<b>V</b>	AS	Srinivasan, et al., "Characterization of Binding Sites, Extent of Binding, and Drug Interactions of Oligonucleotides with Albumin," Antisense Research and Development, Vo. 5, pp. 131-139, 1995				
AT  Xie, et al., "Acute Myocardial Ischemia and Reperfusion can be Visually Identification invasively with Intravenous Perfluoropropane-Enhanced Sonicated Dextrose Al Ultrasound Contrast," Circulation, Vol. 90, No. 4, Part 2, Abstract 2989, October				onicated Dextrose Albumin		
· .				·		
<del>v</del>	-					
<del></del>				<del> </del>		

10802919 - GAU: 1654

<u> </u>					Page 6 c	of 71
F	orm I	PTO-1449 Modi	fied	Docket No.	Serial No.	
				006086.00020	TBA	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Unger, et al	7.5		
		partment of Comm and Trademark Off		Filing Date TBA	Group TBA	
		Ţ	J. S. PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/MA/	AA	4,466,442	08/21/84	Hilmann et al.	128	653
×200000	AB	4,621,023	11/04/86	Redziniak et al.	428	402.2
000000000000000000000000000000000000000	AC	4,919,895	04/24/90	Heldebrant et al.	422	129
000000000	AD	5,194,188	03/16/93	Guitierrez	264	4.1
000000000	AE	5,380,411	01/10/95	Schlief	204	157.15
0000000000	AF	5,512,268	04/30/96	Grinstaff et al.	424	9.322
000000000	AG	5,567,415	10/22/96	Porter	424	9.52
300000000000000000000000000000000000000	AH	5,846,517	12/08/98	Unger	424	9.52
	AI	5,849,727	12/15/98	Porter et al.	514	156
	AJ	5,997,898	12/07/99	Unger	424	450
	AK	6,056,938	05/02/00	Unger et al.	424	1.21
/MA/	AL	6,068,857	05/30/00	Weitschies et al.	424	489
		FOR	EIGN PATE	NT DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Translation YES NO	
/MA/	AM	0 633 030 A1	01/11/95	EP		
/MA/	AN	92/05806	04/16/92	WIPO		
EXAMINE	<u> </u> R /\	//aury Audet/		DATE CONSIDER	RED 6/27/	

			Page 7 of 71				
	Form P	TO-1449 Modified	Docket No.	Serial No.			
			006086.00020	TBA			
		atent and Publications	Applicant				
		ed by Applicant ral sheets if necessary)	Unger et al.				
		artment of Commerce	Filing Date	Groun			
	-	nd Trademark Office	TBA	Group TBA			
	OTHE	R DOCUMENTS (Including	Author, Title, Date, Per	tinent Pages, Etc.)			
/MA/ 	AO	Nomura et al., "US Contrast End Microbubbles: A Preliminary Re English language translation p	epor,t" Jpn. J. Med. Ultras	or with Helium Gas onics, Vol. 18, No. 5 (1991) (f			
202000000000000000000000000000000000000	AP	Porter, et al., "Multifold Sonicated Dilutions of Albumin with Fifty Percent Dextrose Improve Left Ventricular Contrast Videointensity After Intravenous Injection in Huma Beings," <i>Journal of the American Society of Echocardiography</i> , Vol. 7, No. 5, pp. 465 September-October 1994					
000000000000000000000000000000000000000	AQ	With Contrast Ultrasound Using	Porter, et al., "Noninvasive Identification of Acute Myocardial Ischemia and Reperfusion With Contrast Ultrasound Using Intravenous Perfluorpropane-Exposed Sonicated Dextros Albumin," Journal of the American College of Cardiology, Vol. 26, No. 1, pp. 33-40; 199				
000000000000000000000000000000000000000	AR	Porter, et al., "Visually Discerni Intravenous Injection of Sonicat Molecular Weight, Less Soluble Vol. 25, No. 2, pp. 509-515, Feb	ed Dextrose Albumin Mica Gases," Journal of the Am	robubbles Containing High			
<b>V</b>	AS	Srinivasan, et al., "Characterization of Binding Sites, Extent of Binding, and Drug Interactions of Oligonucleotides with Albumin," <i>Antisense Research and Development</i> , Vo. 5, pp. 131-139, 1995					
Xie, et al., "Acute Myocardial Ischemia and Reperfusion invasively with Intravenous Perfluoropropane-Enhanced Ultrasound Contrast," Circulation, Vol. 90, No. 4, Part 2				onicated Dextrose Albumin			
AMIN	IER /	Maury Audet/	DATE CONSIDERI	E <b>D</b> 6/27/08			

Page 8 of 71

	E	DTO 1440 Madie	·	Doolrot No.	Contral No	<del></del>	
	rorm	PTO-1449 Modif	iea .	Docket No. 006086.00020	Serial No.		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant			
		epartment of Commert and Trademark Office		Filing Date	Group TBA		
		U. S	S. PATENT D	OCUMENTS	`		
Examiner Initial			Name	Class	Subclass		
	ļ						
<u> </u>		-					
	-						
	-			-			
	`						
	<u> </u>	· · ·					
	L	FODE:	CN DATENT	DOCUMENTS			
Examiner Initial		Document No.	Date	Country		nslation NO	
/MA/	AA	195 41 679 A1	05/15/97	Germany	Abstract		
/MA/	AB	WO 93/19768	10/14/93	PCT			
	<u></u>						
EXAMINE	R ,	/Maury Audet/		DATE CONSIDE	RED 6/2	7/08	

Page	g	of	71
raye	7	OL	/ 1

	For	n PTO-1449 Modified	Docket No.	Serial No.		
		of Patent and Publications Cited by Applicant several sheets if necessary)	Applicant Evan C. Unger e	t al.,		
		Department of Commerce ent and Trademark Office	Filing Date	Group TBA		
	ОТН	ER DOCUMENTS (Including A	uthor, Title, Date, Perti	nent Pages, Etc.)		
/MA/	AA	Frezard, F. et al., "Fluorinated permeability, active doxorubica et Biophys Acta, 1994, Vol 119	orinated phosphatidylcholine-based liposomes: H+/Na+ xorubican encapsulation and stbility in human serum"Biochia			
180000000000000000000000000000000000000	AB	Gross, U. et al., "Phosholipid vesiculated fluorocarbons promising trend in blood substitutes" <i>Biomat., Art. Cells &amp; Immob. Biotech.</i> , 1992, Vol 20, (2-4) pp 831-833				
200000000000000000000000000000000000000	AC	Riess, Jean G., "Fluorine in our 909 (English Abstract)	arteries", New J. Chem.,	1995, Vol 19 (8-9), pp 891-		
***************************************	AD	Riess, Jean G., "Introducing a n Journal of Liposome Research,				
000000000000000000000000000000000000000	AE	Santaella, C. et al., "Extended in liposomes" FEBS Letters, 1993,		ime of fluorinated		
1	AF	Trevino, L, et al., "Incorporation of a perfluroalkylalkane (rfrh) into the phospholipid bilayer of dmpc liposomes results in greater encapsulation stability", Journal of Liposome Research., 1994, Vol 4 (2) pp 1017-1028				
/M <b>A</b> /	AG	Zarif L. et al., "Biodistribution as determined and Immobilization Biotechnology	d by 19-F NMR", <i>Artifici</i>	al Cells, Blood Substitutes,		
EXAMI	NER	/Maury Audet/	DATE CONSIDE	ERED 6/27/08		

				Page 10 of 71			
	Form	PTO-1449 Modified	Docket No.	Serial No.			
			006086.00020	TBA			
	(	Patent and Publications Cited by Applicant veral sheets if necessary)	Applicant Unger et al.				
		epartment of Commerce t and Trademark Office	Filing Date TBA	Group TBA			
O	THER	DOCUMENTS (Including Aut	hor, Title, Date, Pertine	ent Pages, Etc.)			
/MA/	AA	Trevedi and Dickson (1995) "I	kson (1995) "Liposome-mediated gene transfer into normal and eient mouse myoblasts" <i>J. Neurochem</i> 64(5):2230-8 (Abstract				
X0000000000000000000000000000000000000	AB	Watson, A.J. (1995) "Review a development of novel strategie Aliment. Pharmacol. Ther. 9(3)	ticle: manipulation of cell deaththe for the treatment of gastrointestinal disease" 215-26 (Abstract only)				
V	AC	Yla-Herttuala (1996) "Gene the 28(2):89-93 (Abstract only)	erapy for cardiovascular of	liseases" Ann. Med.			
/MA/	AD	Zabner (1996) "Repeat administration of an adenovirus vector encoding cystic fibrosis transmembrane conductance regulator to the nasal epithelium of patients with cystic fibrosis" Clin. Invest. 97(6):1504-1511					
	<u> </u>						
XAMINE	<b>1D</b> /M	aury Audet/	DATE CONSIDE	RED 6/27/08			

			·	Page 11 of 71		
•	Form	PTO-1449 Modified	Docket No.	Serial No.		
			006086.00020	TBA		
		f Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant Evan C. Unger et al.			
		Department of Commerce at and Trademark Office	Filing Date TBA	Group TBA		
	OTHE	CR DOCUMENTS (Including Autho	r, Title, Date, Pertine	nt Pages, Etc.)		
/ <b>MA</b> /	Porter, T. R., et al., "Thrombolytic Enhancement with Perfluorocarbon-expose Sonicated Dextrose Albumin Microbubbles", <i>American Heart Journal</i> , <b>Noven 1996</b> , Vol. 132, No. 5, pp. 964-968					
		·				
			·			
·		·	·			
EXAMINE	E <b>R</b>	/Maury Audet/	DATE CONSIDERI	E <b>D</b> 6/27/08		

	<del> </del>	· · · · · · · · · · · · · · · · · · ·		<del></del>	Page 1	2 of 71		
· · · · · · · · · · · · · · · · · · ·	Form	PTO-1449 Modi	ified	Docket No.	Serial No	<b>)</b> .		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Applicant Evan C. Unger et al.				
				Filing Date TBA	Group TBA	4		
		U.	S. PATENT D	OCUMENTS				
Examiner Initial		Document No.	Date	Name	Class	Subclass		
/MA/	AB	4,485,193	11/27/84	Rubens et al.	521	58		
/MA/	AC	5,639,473	06/17/97	Grinstaff et al.	424	450		
/MA/	AD	5,648,098	07/15/97	Porter	424	490		
		FOR	EIGN PATEN	T DOCUMENTS				
Examiner Initial		Document No.	Date	Country		Translation YES NO		
<del></del>								
EXAMINE	<u> </u>	Maury Audet/		DATE CONSIDE		27/08		

• •					Page l	3 of 71	
Form PTO-1449 Modified  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Docket No. Serial No. 006086.00020 TBA			
				Applicant Evan C. Unger, e	t al.		
				Filing Date	Group TBA		
		U.	S. PATENT D	OCUMENTS	<del></del>		
Examiner Initial		Document No.	Date	Name	Class	Subclass	
/MA/	AE	5,695,460	12/09/97	Siegel, et al.	604	21	
			· ·				
		FOR	EIGN PATENT	Γ DOCUMENTS			
Examiner Initial		Document No.	Date	Country	YES Tr	anslation NO	
/MA/	AF	0 901 793 A1	03/17/99	EPO			
/MA/	AG	WO 96/40285	12/19/96	PCT			
/MA/	AH	WO 99/13919	03/25/99	PCT			
EXAMINE	D	/Maury Audet/		DATE CONSIDE	DED 6/0	27/08	

			Page 14 of 71			
Form	PTO-1449 Modified	Docket No. 006086.00020	Serial No. TBA			
,	f Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant Evan C. Unger, et al.				
	Department of Commerce at and Trademark Office	Filing Date TBA	Group · TBA			
OTHE	CR DOCUMENTS (Including Author	r, Title, Date, Pertine	nt Pages, Etc.)			
AA	Kinsler, L. E., et al., Fundamentals o	f Acoustics, 3rd Ed., 19	<b>82</b> , 228-331			
AB	Meessen, H. (ed.), Microcirculation, Springer-Verlag, Berlin Heidelberg, New York, 1997, 44					
AC	Ring, J., et al., "Humanalbuminunverträglichkeit: klinische und immunologische					

/MA/	AA	Kinsler, L. E., et al., Fundamentals of Acousti	ics, 3 <sup>rd</sup> Ed., <b>1982</b> , 228-331		
H30980000000000888	AB	Meessen, H. (ed.), Microcirculation, Springer 1997, 44	-Verlag, Berlin Heidelberg, New York,		
000000000000000000000000000000000000000	AC	Ring, J., et al., "Humanalbuminunvertràglichk untersuchungen," Clinical Weekly, 1974, 52,			
2233050505050505050505050505050505050505	AD	Robinson, et al., F.J. Fry (ed.), "Ultrasound: it Elsevier Scientific Publishing Company, 1978			
V	AE	Silbernagl, S., et al., "Pocket atlas of physiolo New York, 1983, 156-157	gy," Georg Thieme Verlag, Stuttgart,		
/MA/	AF	Wells, P.N.T., "Pulse-echo methods," <i>Biomedical Ultrasonics</i> , Academic Press, 1977, 209-220			
EXAMI	VER	/Maury Audet/ DATE	CONSIDERED 6/27/08		

Page 15 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger, et al. (Use several sheets if necessary) Filing Date U.S. Department of Commerce Group Patent and Trademark Office TBA TBA **U. S. PATENT DOCUMENTS Document** Examiner Class Subclass Date Name Initial No. 521 4,972,002 11/20/90 Volkert 120 **AG** /MA/ FOREIGN PATENT DOCUMENTS Translation **Examiner Country YES** NO Initial Document No. Date 06/10/87 X 0 224 934 **EPO** AH /MA/ X **EPO** 03/21/90 ΑI 0 359 246 X **PCT** 07/04/85 AJ WO 85/02772 X **PCT** 07/11/91 AK WO 91/09629 **PCT** X ALWO 92/22247 12/23/92 X (abstract) 3803972 08/10/89 Germany  $\mathbf{AM}$ /MA/ 6/27/08 **DATE CONSIDERED EXAMINER** /Maury Audet/

,	<del></del>		Page 16 of 71 .			
Form PTO-1449 Modified				<b>Docket No.</b> 006086.00020	Serial No. TBA	
	ı	f Patent and Publicat Cited by Applicant everal sheets if neces	Applicant Unger, et al.			
		Department of Comm t and Trademark Of	Filing Date	Group TBA	· .	
		Ū.	S. PATENT D		1111	
Examiner Initial	zaminer Document		Name	Class	Subclass	
/MA/	AA	5,460,900	10/24/95	Walters	424	9.6
. 000000000	AB	5,701,899	12/30/97	Porter	428	662.02
V	AC	5,897,851	04/27/99	Quay et al.	424	9.52
/MA/	AD	5,976,501	11/02/99	Jablonski	424	9.52
	AE					
	AF					
	AG					
•	AH					
	AI					
	AJ					
		FORI	EIGN PATENT	T DOCUMENTS		•
Examiner Initial		Document No.	Date	Country	Tr YES	anslation NO
	AK					
	AL					
	AM					
	AN	·				
	AO					
EXAMINE	R	/Maury Audet/		DATE CONSIDE	RED 6/:	27/08

		<del></del>		Page 17 of 71	
F	orm	PTO-1449 Modified	<b>Docket No.</b> 006086.00020	Serial No.	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Unger et al.		
		Department of Commerce at and Trademark Office	Filing Date	Group TBA	
•	THE	R DOCUMENTS (Including A	Author, Title, Date, Perti	nent Pages, Etc.)	
/MA/	AA	Ding, X.C., et al., "Scavenging effect Li Hsueh Pao 1989, 10(5):473-475.	of EDTA-fluorocarbon micro (Abstract)	spheres on <sup>210</sup> lead," <i>Chung Kuo Yao</i>	
				·	
				•	
EXAMINER	/M	aury Audet/	DATE CONSIDERE	D 6/27/08	

	Form	PTO-1449 Modified	Docket No.	Page 18 of 71 Serial No.		
			006086.00020	TBA		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger et al.			
	,	Department of Commerce at and Trademark Office	Filing Date TBA	Group TBA		
	OTHE	R DOCUMENTS (Including A	uthor, Title, Date, Perti	nent Pages, Etc.)		
/MA/	AA	Chortkoff, B.S. et al., "Pharmacokinetics Do Not Explain the Absence of an Anesthetic Effect of Perfluoropropane or Perfluoropentane," <i>Anesth. Analog.</i> , 79, 234-237				
100000000000000000000000000000000000000	AB	Sharma, S.K. et al., "Emulsification Methods for Perfluorochemicals," Drug Devel. Indust. Pharmacy, 1988, 14(15-17), 2371-2376				
000000000000000000000000000000000000000	AC	Tilcock, C. et al., "PEG-coated L Pool Agents for Nuclear Medicine				
V	AD	Tilcock, C. et al., "99mTc-labeling of Lipid Vesicles Containing the Lipophilic Chelator PE-DTTA: Effect of Tin-to-chelate Ratio, Chelate Content and Surface Polymer on Labeling Efficiency and Biodistribution Behavior," <i>Nucl. Med. Biol.</i> , <b>1994</b> , <i>1</i> , 89-96				
/MA/	AE	Zarif, L.et al., "Synergistic Stabilization of Perfluorocarbon-Pluronic F-68 Emulsion by Perfluoroalkylated Polyhydroxylated Surfactants," <i>JAOCS</i> , <b>1989</b> , 66(10), 1515-1523				
				a de la companya de		
·			· · · · · · · · · · · · · · · · · · ·			
AMIN	FD /	Maury Audet/	DATE CONSIDE	RED 6/27/08		

EXAMINER

Page 19 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group TBA TBÂ Patent and Trademark Office **U. S. PATENT DOCUMENTS Document** Examiner Initial No. Date Name Class Subclass /MA/ AF 5,190,766 03/02/93 Ishihara 424 489 Grinstaff et al. AG 5,508,021 04/16/96 424 9.322 AH 5,562,608 10/08/96 Sekins et al. 604 20 ΑI 10/15/96 Gref et al. 424 5,565,215 501 5,648,095 07/15/97 Illum et al. 424 AJ 489 Sekins et al. AK 5,707,352 01/13/98 604 56 AL 5,736,121 04/07/98 Unger 424 9.4 424 AM 5,874,062 02/23/99 Unger 9.4 /MA/ FOREIGN PATENT DOCUMENTS Examiner Translation Initial Document No. Date Country YES NO WO 91/03267 X /MA/ AN 03/21/91 **PCT PCT** AO WO 94/213Qi⁄ 09/29/94 X /MA/ AP WO 95/03835 02/09/95 **PCT** X /MA/ WO 95/32005 11/30/95 **PCT** X A<sub>0</sub> /MA/ /Maury Audet/ DATE CONSIDERED 6/27/08

	F	DTO 1440 M- J.C. J	Doologa	Page 20 of 71			
	rorm	PTO-1449 Modified	Docket No.	Serial No.			
			006086.00020	TBA			
		Patent and Publications	Applicant				
		Cited by Applicant	Evan C. Unger et	al.			
	(Use se	veral sheets if necessary)					
		epartment of Commerce	Filing Date	Group			
	Paten	t and Trademark Office	TBA	TBA			
	OTHE	R DOCUMENTS (Including Au	thor, Title, Date, Perti	nent Pages, Etc.)			
/MA/	AA	Jackson, B.A. et al., "Effect of ultrasound therapy on the repair of Achilles tendon injuries in rats", Med. & Sci. in Sports & Exercise, 1991, 23(2), 171-176					
770700000000000000000000000000000000000	AB	Lejbkowicz, F. et al., "The responsitor", Ultrasound Med. Biol., 1					
200000000000000000000000000000000000000	AC	Maxwell, L., "Therapeutic Ultra Mechanisms of Inflammation ar	sound: Its Effects on the nd Repair", <i>Physiotherap</i>	nd: Its Effects on the Cellular and Molecular Repair", <i>Physiotherapy</i> , <b>1992</b> , 78(6), 421-426			
000000000000000000000000000000000000000	AD	Tuncay, O. et al., "Expression o Ultrasound Perturbation in the C Abstract No. 1007	f Genes Associated with Singival Fibroblast", <i>J. D</i>	Tissue Remodeling Upon Dental Res., 1996, 14, 143,			
000000000000000000000000000000000000000	AE	Wang, S-J. et al., "Low Intensity Femoral Fracture Model", J. Ord	V Ultrasound Treatment I Thopaedic Res., 1994, 12	ncreases Strength in a Rat 40-47			
000000000000000000000000000000000000000	AF	Yang, K-H. et al., "Exposure to Low-Intensity Ultrasound Increases Aggrecan Gene Expression in a Rat Femur Fracture Model", J. Orthopaedic Res., 1996, 14, 802-809					
V	AG	Young, S.R. et al., "The Effect of Ultrasound in Med. & Biol., 199		d on Angiogenesis",			
/MA/	АН	Young, S.R. et al., "Effect of therapeutic ultrasound on the healing of full-thickness excised skin leasons", <i>Ultrasonics</i> , 1990, 28(3), 175-180					
			,				
				· · · · · · · · · · · · · · · · · · ·			
				· · · · · · · · · · · · · · · · · · ·			
		<u> </u>					

Page 21 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA **U. S. PATENT DOCUMENTS Examiner** Document Initial Date Name No. Class Subclass 07/23/85 ΑI 4,530,360 Duarte 128 419 F /MA/ AJ 5,556,372 09/17/96 Talish et al. 601 2 5,571,797 11/05/96 Ohno et al. 514 44 AK /MA/ AL 5,612,318 03/18/97 Weichselbaum et al. 514 44 FOREIGN PATENT DOCUMENTS **Examiner** Translation Initial Document No. Date Country YES NO 6/27/08 **EXAMINER DATE CONSIDERED** /Maury Audet/

Page 22 of 71 Docket No. Serial No. Form PTO-1449 Modified 006086.00020 TBA List of Patent and Publications **Applicant** Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA **U. S. PATENT DOCUMENTS** Examiner Document Initial No. Date Name Class Subclass 10/29/96 424 Wong et al. /MA/  $\mathbf{A}\mathbf{A}$ 5,569,448 9.45 /MA/ AB 5,804,162 09/08/98 Kabalnov et al. 424 9.51 /MA/  $\mathbf{AC}$ 4,919,895 04/24/90 Heldebrant et al. 422 129 **FOREIGN PATENT DOCUMENTS** Examiner Translation Initial Document No. Date Country **YES** NO **AD** WO 96/40281 12/19/96 **PCT** X /MA/ 6/27/08 **EXAMINER** DATE CONSIDERED /Maury Audet/

Page 23 of 71

		DEC 1440 N 15		Docket No.		23 OF /I
	Form PTO-1449 Modified				Serial No	•
List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Applicant Evan C. Unger et	al.	
				Filing Date TBA	Group TBA	
	,	U.	S. PATENT D	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/M <b>A</b> /	AA	5,840,023	11/24/98	Oraevsky et al.	600	407
/MA/	AB	5,855,865	01/05/99	Lambert et al.	424	9.52
	Ì					
		FOR	EIGN PATENT	DOCUMENTS	····	······································
Examiner Initial		Document No.	Date	Country	Translation YES NO	
EXAMINE	<b>R</b> /	Maury Audet/		DATE CONSIDE	RED 6/2	7/08

<del>,</del>	<u> </u>	<del></del>			Page 2	4. of 71
	Form	PTO-1449 Modi	fied	Docket No.	Serial No.	
	•			006086.00020	TBA	·
	List of Patent and Publications			Applicant		
		Cited by Applicant everal sheets if necess	sary)	Evan C. Unger et	al.	
	U.S. D	epartment of Comm	erce	Filing Date	Group	
		t and Trademark Off		TBA	TBA	
		U.	S. PATENT D	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/MA/	AA	5,612,057	03/18/97	Lanza et al.	424	450
/MA/	AB	5,858,399	01/12/99	Lanza et al.	424	450
						,
					1	
						-
				•		
	1	FORE	EIGN PATENT	DOCUMENTS		
Examiner					Tra	nslation
Initial		Document No.	Date	Country		NO
				·		
-						
EXAMINER /Maury Audet/				DATE CONSIDE	<b>RED</b> 6/2	7/08

<del></del>				Page 25 of 71
	Form	PTO-1449 Modified	Docket No.	Serial No.
	•		006086.00020	TBA
	(	f Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant Evan C. Unger et a	l.
		Department of Commerce	Filing Date	Group
	Paten	t and Trademark Office	TBA	TBA
	OTHE	R DOCUMENTS (Including Auth	or, Title, Date, Pertine	nt Pages, Etc.)
/MA/	AA	Hynynen et al., "The Usefulness of in a Steady-State Imaging Sequence Ultrasound Surgery", Investigative	for Magnetic Resonance In	naging-Guided Noninvasive
	<u> </u>	•		
-				
		1		}

EXAMINE	R /N	Maury Audet/		DATE CONSIDI	ERED 6,	/27/08
/MA/ 	Aur	WO 93/24104	U7/14/33	101	^	
/MA/ 	AF	WO 92/22249 WO 95/24184	09/14/95	PCT	X	
/MA/ /NAA/	AE	WO 92/22249	12/23/92	PCT	X	-
Examiner Initial	AD	Document No. EP 0 052 575	<b>Date</b> 05/26/82	Country	YES X	no NO
		FORI	EIGN PATEN	T DOCUMENTS		
				·		·
<del></del>						
<u>, ·</u>						
·						
	<del> </del>					
/MA/	AC	5,740,807	04/21/98	Porter	128	662.02
/MA/	AB	4,693,999	09/15/87	Axelsson et al.	514	174
Examiner Initial		Document No.	Date	Name	Class	Subclass
		U.	S. PATENT D	OCUMENTS		
Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				TBA	Group TBA	
				Filing Date Group		
				Evan C. Unger e	t al.	-
	List of	Patent and Publicat	ions	006086.00020 TBA Applicant		
j	Form	PTO-1449 Modi	ned	Docket No.	Serial No.	
		DTO 1440 M 1	C 1	D 1 (N		26 of 71

		D.T.O. 4.4.0 D.F. 11.00 .		Page 27 of 71	
	Form	PTO-1449 Modified	Docket No. 006086.00020	Serial No.	
List of Patent and Publications			Applicant		
Cited by Applicant (Use several sheets if necessary)			Evan C. Unger, e	t al.	
		Department of Commerce	Filing Date	Group	
· 	Pater	nt and Trademark Office	TBA	TBA	
	OTHI	ER DOCUMENTS (Including A	uthor, Title, Date, Perti	nent Pages, Etc.)	
/MA/	MA/ Hautanen, A., et al., "Effects of modifications of the RGD sequence and its con recognition by the fibronectin receptor," J. Biol. Chem., 1989, 264(3), 1437-144			O sequence and its context on 1989, 264(3), 1437-1442	
/MA/	AB	Takeuchi, M., et al., "Enhanced visualization of intravascular thrombus with the use of a thrombus targeting ultrasound contrast agent (MRX408): Evidence from in vivo experimental echocardiographic studies," J. Am. College of Cardiology, 1998, 81(12) 1 page, Abstract XP-000952675			
/MA/	AC	Unger, E.C., et al., "In vitrostud agent," J. of Cardiology, 1998,	lies of a new thrombus-spo 81(12), 58G-61G, Abstra	ecific ultrasound contrast ect XP-002087505	
/MA/	MA/ AD Wu, Y., et al., "Binding and lysing of blood clots using MRX-408," Investigate Radiology, 1998, 33(12), 880-885				
				· · · · · · · · · · · · · · · · · · ·	
AMINI	ED.	/Maury Audet/	DATE CONSIDE	RED 6/27/08	

10802919 - GAU: 1654

		· ·	<u> </u>	Page 28 of 71	
	Form	PTO-1449 Modified	Docket No. 006086.00020	Serial No. TBA	
		f Patent and Publications Cited by Applicant everal sheets if necessary)	Applicant Evan C. Unger et a	1.	
U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA	Group TBA	
-	OTHE	R DOCUMENTS (Including Author	r, Title, Date, Pertine	nt Pages, Etc.)	
/MA/	AA	Lindner et al., "Myocardial Perfusion MRX-115, a Venous Echocardiogra Infarction", J. Am. Soc. Echocardio	phic Contrast Agent, [	During Acute Myocardial	
/MA/	AB	Regen et al., "Polymerized Phosphatidylcholine Vesicles. Synthesis and Characterization", J. Am. Chem. Soc., 1982, 104(3), 191-195			
/MA/	AC	Wei et al., "Quantification of Myocardial Blood Flow with Ultrasound-Induced Destruction of Microbubbles Administered as a Constant Venous Infusion", Circulation, 1998, 97, 473-483			
		·			
	-				
XAMINE	 	Maury Audet/	DATE CONSIDERI	E <b>D</b> 6/27/08	

					Page	29 of 71
Form PTO-1449 Modified			Docket No.	Serial No	). ·-	
	<b>-</b>			006086.00020	T	BA
		Patent and Publicat lited by Applicant	ions	Applicant  Evan C. Unger et a	al .	
(Use several sheets if necessary)				Evan C. Onger et a	lle ,	
	U.S. D	epartment of Comm	erce	Filing Date	Group	
	Patent	and Trademark Off	fice	TBA	TBA	
		U.	S. PATENT D	OCUMENTS		
Examiner Initial	Document No. Date		Name	Class	Subclass	
/MA/ <sub>.</sub>	AD	3,291,843	12/13/66	Fritz et al.	260	614
0000000	AE	3,557,294	01/19/71	Dear et al.	424	342
X00000000	AF	4,427,649	01/24/84	Dingle et al.	424	38
0000	AG	4,663,161	05/05/87	Mannino et al.	424	89
00000000	AH	4,918,065	04/17/90	Stindl et al.	514	179
000000000	AI	5,008,109	04/16/91	Tin	424	422
0000	AJ	5,107,842	04/28/92	Levene et al.	128	662.02
000000000	AK	5,196,348	03/23/93	Schweighardt et al.	436	173
	AL	5,339,814	08/23/94	Lasker	128	653.4
- 0000000000000000000000000000000000000	AM	5,552,155	09/03/96	Bailey et al.	424	450
	AN	5,679,459	10/21/97	Riess et al.	428	402.2
V	AO	5,711,933	01/27/98	Bichon et al.	424	9.52
/MA/	AP	5,733,527	03/31/98	Schutt	424	9.52
		FORI	EIGN PATEN	Γ DOCUMENTS		
Examiner Initial	Document No. Date		Date	Country	YES Tr	anslation NO
/MA/	AQ	WO 84/02909	08/02/94	PCT	X	
/MA/	AR	WO 92/15284	09/17/92	PCT	X	
EXAMINE	R /M	aury Audet/		DATE CONSIDER	ED 6/2	7/08

			Page 30 of 71		
F	orm ]	PTO-1449 Modified	Docket No.	Serial No.	
			006086.00020	TBA	
	C	Patent and Publications ited by Applicant veral sheets if necessary)	Applicant Evan C. Unger et al.		
U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA	Group Not Yet Assigned	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
MAN Nomura et al., "US Contrast Enhancement of Hepatic Tumor with Helium (Microbubbles: A Preliminary Report", Jpn. J. Med. Ultrasonics, 1991, 18(5) (Japanese with English language abstract)					
			·		
•					
-					
				·	
EXAMINER	/N	Maury Audet/	DATE CONSIDERE	E <b>D</b> 6/27/08	

Form PTO-1449 Modified  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Docket No. 006086.00020		
				Applicant Evan C. Unger e	Applicant Evan C. Unger et al.	
	U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA	Group Not Yet A	Assigned
		U.	S. PATENT I	OCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/MA/	AB	4,834,964	05/30/89	Rosen	424	9
	AC	4,984,573	01/15/91	Leunbach	128	653
	AD	5,362,477	11/08/94	Moore et al.	424	9
	AE	5,485,839	01/23/96	Aida et al.	128	653.1
00000	AF	5,339,814	08/23/94	Lasker	128	653.4
***************************************	AG	5,545,396	08/13/96	Albert et al.	424	93
000000000000000000000000000000000000000	AH	5,593,680	01/14/97	Bara et al.	424	401
000000000000000000000000000000000000000	AI	5,686,102	11/11/97	Gross et al.	424	450
V	AJ	5,716,597	02/10/98	Lohrmann et al.	424	9.5
/MA/	AK	5,732,707	03/31/98	Widder et al.	128	661.08
	•	FOI	REIGN PATEN	T DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Translation YES NO	
/MA/	AL	WO 92/22298	12/23/92	PCT	X	
250000000000000000000000000000000000000	AM	WO 94/00110	01/06/94	PCT	X (Abstract Only)	
***************************************	AN	WO 94/28873	12/22/94	PCT	X	
0000000000	AO	WO 98/00172	01/08/98	PCT	X	
0000000	AP	2 700 952	08/05/94	France	X	:
V	AQ	25 21 003	08/12/76	Germany	X	
/MA/	AR	0 614 656 A1	09/14/94	EPO	X	
EXAMINE	<b>R</b> /Mau	ry Audet/		DATE CONSIDER	RED 6/27/	08

Page 32 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications **Applicant** Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) AA Reexamination of U.S. Patent No. 5,527,521, Reexam Control No. 90/004,719 /MA/ AB Reexamination of U.S. Patent No. 5,547,656, Reexam Control No. 90/004,720 /MA/ AA/AB Respectively, Reexam. Cert. Issued: 5/16/03&9/13/00 · 5 **EXAMINER** DATE CONSIDERED 6/27/08 /Maury Audet/

\_\_\_10802919 - GAU: 1654

			<b>4</b>	Page 33 of 71		
Form	PT	O-1449 Modified	Docket No.	Serial No.		
		·	006086.00020	TBA		
C	ited	ent and Publications d by Applicant sheets if necessary)	Applicant Evan C. Unger and	Thomas McCreery		
U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA	Group TBA		
OTHER DO	CUME	NTS (Including Author,	Title, Date, Perti	inent Pages, Etc.)		
Desir et al., "Assessment of regional myocardial perfusive with myocardial contrast echocardiography in a canine movarying degrees of coronary stenosis", Am. Heart J., Jan 1994, 127(1), 56-63				in a canine model of		
/MA/	AB	Sekins et al., "Lung Cancer Hyperthermia via Ultrasound and PFC Liquids", Published in Proceedings of 5th International Symposium on Hyperthermic Oncology, Kyoto, Japan, August 29-September 3, 1998, 3 pages				
/MA/	AC	Pietersen, "A New Warning System for Fires of Electrical Origin", CERN European Organization for Nuclear Research, Health and Safety Division, March, 1977, 1-5				
EXAMINER	/Maı	ıry Audet/	DATE CONSIDERED	5/27/08		

		<del>***                                  </del>			Page 34	of 71
Form	PT	D-1449 Mod:	ified	Docket No.	Serial	No.
				006086.00020	TBA	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger and Thomas McCreery			
U.S. I Patent	Depa:	rtment of Co d Trademark	mmerce Office	Filing Date TBA	Group TBA	
			U.S. PATE	NT DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/MA/	AD	5,247,935	09/28/93	Cline et al.	128	653.2
	ΆE	5,558,856	09/24/96	Klaveness et al.	424	9.37
	AF	5,569,449	10/29/96	Klaveness et al.	424	9.51
0000000	AG	5,614,169	03/25/97	Klaveness et al.	424	9.52
000000000000000000000000000000000000000	АН	5,676,928	10/14/97	Klaveness et al.	424	9.32
	AI	5,686,060	11/11/97	Schneider et al.	424	9.52
V	AJ	5,707,606	01/13/98	Quay	424	9.52
/MA/	AK	5,707,607	01/13/98	Quay	424	9.52
		F	OREIGN PAT	ENT DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Tran YES	slation NO
,						
FYAMINGO		A 1.1/		DATE CONSIDERS	6/27/08	
EXAMINER	/Mai	ury Audet/		DATE CONSIDERED	6/27/08	

			· · · · · · · · · · · · · · · · · · ·	Page 35 of /1	
Form	PT(	O-1449 Modified	Docket No. 006086.00020	Serial No.	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger et al.		
U.S. I Patent	Depa:	rtment of Commerce d Trademark Office	Filing Date	Group /	
OTHER DO	CUME	NTS (Including Author,	Title, Date, Perti	nent Pages, Etc.)	
/MA/ Villanueva et al., "Characterization of Spatial Patters of Flow Within the Reperfused Myocardium by Myocardial Contras Echocardiography", Circulation, Vol. 88, No. 6, pp. 2596-26 (December 1993)					
			·		
-					
· ·					
			·		
				<u> </u>	
EXAMINER	/Ma	nurv Audet/	DATE CONSIDERED	6/27/08	

Page 36 of 71 Form PTO-1449 Modified Docket No. Serial No. TBA 006086.00020 List of Patent and Publications Applicant Cited by Applicant Evan C. Unger et al. (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. /MA/ AB 5,135,000 08/04/92 Akselrod et al. 128 662.02 09/30/97 AC 5,672,585 Pierschbacher et 514 11 /MA/ al. FOREIGN PATENT DOCUMENTS Examiner Document Date Country Translation Initial No. YES AD WO 92/17514 10/15/92 Х /MA/ PCT ΑE WO 94/07539 04/14/94 PCT X · (abstract Only) /MA/ AF 0 586 875 03/16/94 EPO /MA/ (abstract Only) EXAMINER /Maury Audet/ DATE CONSIDERED 6/27/08

10802919 - GAU: 1654

				Page 3/ of 71
For	rm E	PTO-1449 Modified	Docket No. 006086.00020	Serial No. TBA
	Cit	atent and Publications ed by Applicant al sheets if necessary)	Applicant Evan C. Unger, e	t al
Pate	ent a	partment of Commerce and Trademark Office	Filing Date . TBA	Group Not Yet Assigned
OTHER DO	CUME	NTS (Including Author, Ti	tle, Date, Pertin	nent Pages, Etc.)
/MA/	AA	Nabel et al., "Combined Expanded Allovectin-7, a Direct Gene Towns with Metastatic Solid Tumors" 14, 226, (31st Annual Meeting Oncology, May 20-23, 1995) (Aba	ransfer Immunotherap , Proceed. ASCO, of the American Socie	eutic in Patients March 14, 1995
/MA/	AB	Rubin et al., "Phase I Study of Immunotherapy of Hepatic Metastasis of Colorectal Carcinoma by Direct Gene Transfer", Proceed. ASCO, March 14, 1995, 14, 228, (31st Annual Meeting of the American Society of Clinical Oncology, May 20-23, 1995) (Abstract No. 589)		
,				,
`				······································
·				
		·		
EXAMINER	EXAMINER /Maury Audet/ DATE CONSIDERED 6/27/08			6/27/08

Page 38 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger, et al (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office Not Yet Assigned TBA U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. AC 4,342,826 08/03/82 Cole /MA/ 435 7 AD 5,004,611 04/02/91 /MA/ Leigh 424 450 /MA/ 5,192,549 AE 03/09/93 Barenolz et al. 424 450 FOREIGN PATENT DOCUMENTS Examiner Document Date Country Translation Initial No. YES NO EXAMINER /Maury Audet/ DATE CONSIDERED 6/27/08

Page 39 of 71

		<del></del>		Page 39 of 71
Fo	rm PTC	0-1449 Modified	Docket No.	Serial No.
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger, et a	TBA
		nt of Commerce ademark Office	Filing Date	Group TBA
OTHER DO	CUMEN	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/	AA	Fitzpatrick, et al., Decarboxylation: Kin Decarboxylation of C Acid in Aqueous Solu No. 3, pp. 568-574	netics and Mechanis Copper (II) Complexe Ition", <i>Inorganic C</i>	m of the Oxidative es of Aminomalonic
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX	AB	Thanassi, "Aminomalo lation and Reaction chemistry, Vol 9, No	with 5-Deoxypyrido	xal", <i>Bio-</i>
***************************************	AC	Stelmashok et al., Koordinatsionnaya Khimiya, Vol. 3, No. 4, pp. 524-527 (1977) (Russian and English language versions)		
000000000000000000000000000000000000000	AD	Mayhew et al., "High Drug Entrapment in I Vol. 149, pp. 64-77	iposomes", Methods	us-Flow System for in Enzymology,
800000000000000000000000000000000000000	AE	Mayhew et al., "Characterization of Liposomes Prepared Using a Microemulsifier", Biochimica et Biophysica Acta, Vol. 775, pp. 169-174 (1984)		
	AF			
	AG	Mayer et al., "Vesicles of Variable Size Produced by a Rapid Extrusion Procedure", Biochimica et Biophysica Acta, Vol. 858, pp. 161-168 (1986)		
/MA/	AH Cheng, et al., "The Production and Evaluation of Contrast-Carrying Liposomes Made with an Automatic High Pressure System", Investigative Radiology, Vol. 22, pp. 47-55 (1987)			
EXAMINE	R /Mau	ry Audet/	DATE CONSIDERED	6/27/08

		·	Page 40 of 71
Form PTC	0-1449 Modified	Docket No.	Serial No.
   List of Paten	List of Patents and Publications		TBA
Cited	by Applicant sheets if necessary)	Applicant Evan C. Unger, et a	1
	nt of Commerce ademark Office	Filing Date -TBA	Group TBA
OTHER DOCUMENT	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/ AI	Jain, et al., <i>Introd</i> 9, pp. 192-231 (J. W	duction to Biologica Niley and Sons, N.Y	al Membranes, Ch.
AJ	Sigel, H., ed., Meta biotics and Their Co N.Y. 1985)	al Ions in Biologica omplexes, Vol. 19 (N	al Systems: Anti- Marcel Dekker,
AK	Nayar et al., "Generation of Large Unilamellar Vesicles From Long-chain Saturated Phosphatidylcholines by Extrusion Technique", Biochimica et Biophysica Acta, Vol. 986, pp. 200-206 (1989)		
AL	Hope et al., "Genera Unilamellar Phosphol Physics of Lipids, N	lipid Vesicles", Che	emistry and
АМ			
AN	Mattrey et al., "Per Specific and Tumor I Material", Radiology	Imaging Ultrasound (	Contrast
AO	Keller et al., "Successful Left Ventricular Opacification Following Peripheral Venous Injection of Sonicated Contrast Agent: An Experimental Evaluation", LV Contrast Echocardiography, Vol. 114, No. 3, pp. 570- 575 (1987)		
AP Feinstein et al., "Two-Dimensional Contrast Echocar-diography, I: In Vitro Development and Quantitative Analysis of Echo Contrast Agents", JACC, Vol. 3, No. 1, pp. 14-20 (1984)			
<b>EXAMINER</b> /Ma	aury Audet/	DATE CONSIDERED	6/27/08

			Page 41 of 71
Form PT	O-1449 Modified	Docket No.	Serial No.
List of Patents and Publications		006086.00020	TBA
Cited	by Applicant sheets if necessary)	Applicant Evan C. Unger, et a	al
U.S. Departme Patent and Tr	nt of Commerce ademark Office	Filing Date TBA	Group TBA
OTHER DOCUMEN	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/	Ten Cate et al., "Tw diography, II: Trans No. 1, pp. 21-27 (19	spulmonary Studies",	rast Echocar- JACC, Vol. 3,
AR	Unger et al., "Hepat enhanced MR Imaging" (1989)	ic Metastases: Lipo , <i>Radiology</i> , Vol. 1	osomal Gd-DTPA- .71, pp. 81-85
AS	Deamer et al., "Perm and Ionic Solutes", Vol. 40, pp.167-188	Chemistry and Physi	Bilayers to Water Ccs of Lipids,
AT	Gutknecht et al., "I Lipid Bilayer Membra Bicarbonate, and Uns 87:34772q (1977)	nes: Effect of Carb	onic Anhydrase.
AU	Scarpa et al., "Cati Function of the Chem Bilayers", <i>Biochimic</i> 789-797 (1971)	ical Composition of	the Lipid
AV			
AW	Tilcock et al., "Liposomal Gd-DTPA: Preparation and Characterization of Relaxivity", Radiology, Vol. 171, pp.77-80 (1989)		
AX Mann et al., "Formation of Iron Oxides in Unilamellar Vesicles", Journal of Colloid and Interface Science, Vol. 122, No.2, pp. 326-335 (1988)			
<b>EXAMINER</b> /\	/laury Audet/	DATE CONSIDERED	6/27/08

				Page 42 of 71
	Form PTO-1449 Modified List of Patents and Publications		Docket No. 006086.00020	Serial No. TBA
C	Cited by Applications  (Use several sheets if necessary)		Applicant Evan C. Unger, et a	al:
U.S. Depa Patent an	rtme d Tr	nt of Commerce ademark Office	Filing Date	Group TBA
OTHER DOO	UMEN	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/	AY	Anderson et al., "Ma Decarboxylation of A No.8, pp. 2450-2460	cids", J. Am. Chem	lexes in Oxidative . Soc., Vol. 92,
	AZ	Muhlradt et al., "Vi Synthesis of 5-Deoxy pp. 129-130 (1967)	tamin B6 Analogs: <i>P</i> pyridoxal", <i>New Cor</i>	An Improved mpounds, Vol. 10,
	BA	Chapman D., "Physiod and Lipid Water Syst Gregoriadis, G., ed. Raton, FL, 1984)	ems", Liposome Tech	nnology,
	вв	Violante et al., "Pa Contrast Agents for 23, pp. S294-S297, S	Liver and Spleen",	ons as Ultrasonic Inv. Rad., Vol.
	вс	Fritzsch et al., "Pr an Ultrasonic Contra S302-S305, September	st Agent", Inv. Rac	cal Results with d., Vol. 23, pp.
000000000000000000000000000000000000000	BD	Brochure, Experience Ultrasonics, Inc. (1	e, Sonicator™, Heat 987)	Systems-
	BE	M. Ostro, "Liposomes", Marcel Dekker, New York, pp. 102- 103 (1983)		
V	BF	Fukuda et al., "Polymer-Encased Vesicles Derived from Diotadecyldimethylammonium Methacrylate", J. Am. Chem. Soc., Vol. 108, pp. 2321-2327 (1986)		
/MA/	BG Regen, "Polymerized Vesicles", J. Am. Chem. Soc., Vol. 102, pp. 6638-6640 (1989)			
EXAMINER	/Mau	ıry Audet/	DATE CONSIDERED	6/27/08

Receipt date: 06/28/2004 \_\_\_\_

		·	·	Page 43 of 71
Form	ı PTO	-1449 Modified	Docket No.	Serial No.
List of Pa	atent	s and Publications	006086.00020	TBA
Ci	Cited by Applicant (Use several sheets if necessary)		Applicant Evan C. Unger, et a	1
		nt of Commerce ademark Office	Filing Date TBA	Group TBA
OTHER DOC	UMENT	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/	ВН	Rose, A. and Rose, E Dictionary", Reinhol 743 (1966)	C., "The Condensed of deal of the Condensed of the Condense of	Chemical York, pp. 728 and
888000000000000000000000000000000000000	BI	A.G. Belykh, <i>Farmako</i> 322-326 (1981) (abst	ol Toksikol. (MOSC) ract)	, Vol. 44(3), pp.
	ВJ	J. Vion-Dury et al., 250(3), pp. 1113-111	J. Pharmacol. Expo 8 (1989) (abstract)	er. Ther., Vol.
	ВК	M.R. Zalutsky et al., <i>Invest. Radiol.</i> , Vol. 22(2), pp. 141-147 (1987) (abstract)		
000000000000000000000000000000000000000	BL	Crowe et al., Archives of Biochemistry and Biophysics, Vol. 242, pp. 240-247 (1985)		
000000000000000000000000000000000000000	вм	Crowe et al., <i>Archiv</i> Vol. 220, pp. 477-48		and Biophysics,
	BN	Dorland's Illustrate 27th ed. (W.B. Saund		
	во	Liposome Technology, 1-18, 29-35, 51-65 a Raton, FL, (1984)		
	BP	Madden et al., <i>Chemi</i> Vol. 53, pp. 37-46 (		f Lipids,
$oldsymbol{\downarrow}$	BQ	Sinkula et al., <i>J. Pharm. Sci.</i> , Vol. 64, pp. 181-210 (1975)		
BR Shiina et al., "Hyperthermiaby Low-frequency Synthesize Ultrasound", <i>IEEE Engineering</i> , pp. 879-880, Vol. 2 (1988) (abstract)				
EXAMINER	/Mau	ry Audet/	DATE CONSIDERED	6/27/08

			Page 44 of 71	
Form PTO	-1449 Modified	Docket No.	Serial No.	
	s and Publications	006086.00020	TBA	
Cited (Use several s	by Applicant sheets if necessary)	Applicant <b>Evan</b> C. <b>Unge</b> r, et a	al	
U.S. Departmer Patent and Tra		Filing Date	Group TBA	
OTHER DOCUMENT	S (Including Author,	Title, Date, Perti	nent Pages, Etc.)	
/MA/ BS	McAvoy et al., IEEE Proceedings, Vol. 2	E Engineering, Ultra 2, pp. 677-1248 (198	asonics Symposium 39) (abstract)	
вт	Chapman et al., "Bi Biol. Chem., 1974,	lomembrane Phase Tra 249:2512-2521	ansitions", J.	
ви	Hug et al., "Liposo Eukaryotic Cells", 1097:1-17	omes for the Transfo Biochimica et Bioph	ormation of nysica Acta, 1991,	
BV	Marsh, CRC Handbook Raton, FL 1990) pp.	of Lipid Bilayers 139-141	(CRC Press, Boca	
BW	Szoka et al., "Proc With Large Internal Acad. Sci. 1978, 75	cedure for Preparati Aqueous Space", 5:4194-4198	on of Liposomes Proc. Natl.	
ВХ		AI5200; Convex Curve ers Operator's Manu p. 4		
ВУ	Bangham et al., "Di Lamellae of Swoller 1965, 13:238-252	ffusion of Univalent Phospholipids", $J$ .	nt Ions across the Mol. Biol.,	
BZ	Carson et al., Ultr 350	Carson et al., <i>Ultrasound in Med. &amp; Biol.</i> 3, 1978, 341-350		
CA	CA Kost et al., Polymers in Medicine II: Biomedical and Pharmaceutical Applications, "Ultrasonic Modulated Drug Delivery Systems", Chiellini et al., ed., (Plenum Press, New York and London), pp. 387-396 (1985)			
/MA/ CB		ations Between Lipo als of The New York 3:85-99		
<b>EXAMINER</b> /M	aury Audet/	DATE CONSIDERED	6/27/08	

Page 45 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patents and Publications Cited by Applicant Applicant (Use several sheets if necessary) Evan C. Unger, et al U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) CC Felgner et al., "Lipofection: A highly efficient, lipid-mediated DNA-transfection procedure", Proc. Natl. /MA/ Acad. Sci., 1987, 84:7413-7417 Gabizon et al., "Liposome formulations with prolonged CD circulation time in blood and enhanced uptake by tumors", Proc. Natl. Acad. Sci., 1988, 85:6949-6953 CE Garelli, et al., Biochimica et Biophysica Acta, Vol. 1127:41-48 (1992) CF Kawai et al., "New Procedure for DNA Transfection with Polycation and Dimethyl Sulfoxide", Molecular and Cellular Biology, 1984, 4:1172-1174 CG Kuo et al., "Metallocene Antitumor Agents. Solution and Solid-State Molybdenocene Coordination...", J. Am. Chem. Soc., 1991, 113:9027-9045 CH Mammalian Cell Biotechnology: A Practical Approach, M. Butler, 1991 (Oxford University Press, New York), p. 57-70 CI Mathiowitz et al., "Photochemical Rupture of Microcapsules: A Model System", Journal of Applied Polymer Science, 1981, 26:809-822 May et al., "Cationic Liposomes Enable Bovine CJ Herpesvirus Type 2 DNA to Infect Cells", Acta virol.. 1991, 35:107 Poznansky et al., "Biologica Approaches to the CK Controlled Delivery of Drugs: A Critical Review", /MA/ Pharmacol, Rev., 1984, 36:277-336 EXAMINER DATE CONSIDERED /Maury Audet/ 6/27/08

Receipt date: 06/28/2004 \_\_\_\_\_

		·		Page 46 of 71
·		149 Modified	Docket No. 006086.00020	Serial No. TBA
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger, et a	1
U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA				-
OTHER DOCUME	ents	(Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/	E	Sato et al., "Recensiotechnology and M 1:345-372	t Aspects in the Us ledicine", <i>Prog. Lip</i>	se of Liposomes in oid Res. 1992,
С	i	inhibit intimal art	isense c-myb oligor erial smooth muscle o", <i>Nature</i> , 1992, 3	e cell
C			t Age 2, Gene There ence 1992, 258:744-7	
C	d	Trubetskoy et al. "Cationic liposomes enhance targeted delivery and expression of exogenous DNA", Biochimica et Biophysica Acta 1992, 131:311-313		
C			udies on Sonodynami 2, 0-7803-0785, pp.	
C	V	Williams, "Human Gene Therapy: Searching for Better Vectors", ASM News [American Society for Microbology] 1992, 58:67-69		
С	s	Woodle et al., "Versatility in lipid compositions showing prolonged circulation", Biochimica et Biophysica Acta 1992, 1105:193-200		
<b>V</b>		Zhou et al., "Targeted delivery of DNA by liposomes and polymers", J. of Controlled Release 1992, 19:269-274		
CT Mathiowitz et al., "Polyanhydride Microspheres as Drug Carriers", Journal of Applied Polymer Science, Vol. 35, pp. 755-774 (1988)				
EXAMINER /	Maury Au	udet/	DATE CONSIDERED	6/27/08

Receipt date: 06/28/2004 \_\_\_\_\_

				Page 47 of 71
		0-1449 Modified	Docket No. 006086.00020	Serial No. TBA
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)			Applicant Evan C. Unger, et a	1
		nt of Commerce ademark Office	Filing Date TBA	Group TBA
OTHER DOO	UMEN	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/	CU	Sankaram et al., "Ch Immiscibility in Mem Vol. 88, pp. 8686-86	branes", Proc. Nati	Fluid-Phase l. Acad. Sci.,
300000000000000000000000000000000000000	CV	Scientific Apparatus 1991), "Syringes", p Filters", pp. 766-76 753; "Filtration, Fi	op. 1511-1513; "Filt 58; "Filtration, Mem	ration, Syringe branes", pp. 750-
000000000000000000000000000000000000000	CW	Gramiak et al., Radi Blood Flow by Pulsed	ology, "Detection of Echo-Ranging", pp.	of Intracardiac 415-418 (1971).
	СХ	Feigenbaum et al., Circulation, "Identification of Ultrasound Echoes from the Left Ventricle by Use of Intracardiac Injections of Indocyanine Green", Vol. XL1, pp. 615-621 (1970)		
000000000000000000000000000000000000000	CY	Santaella, et al., A Circulation Time of No. 3, pp. 481-484 (	Fluorinated Liposom	ed In Vivo Blood nes", Vol. 336,
	CZ	Brown and Langer, Annual Review Medicine, 1988, 39:221 29, Annual Review, Inc., "Transdermal Delivery of Drugs", pp. 221-229		
V	DA	Moseley, et al., Microbubbles: A Novel MR Susceptibility Contrast Agent, abstract, 1991 Napa, California Meeting of the Society for Magnetic Resonance in Medicine		
DB Ter-Pogossia Tomography, Kee, et al., n, "Physical Principles and Instrumentation", Computed Body eds., Raven Press, New York, Chapter 1, pp. 1-7 (1988)			ed Body eds.,	
EXAMINER	/Ma	aury Audet/	DATE CONSIDERED	6/27/08

Page 48 of 71

<del></del>		<u> </u>		Page 48 of /1	
		0-1449 Modified	Docket No. 006086.00020	Serial No. TBA	
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Evan C. Unger, et al			
U.S. Depa Patent an	U.S. Department of Commerce Filing Date Group TBA TBA				
OTHER DOO	CUMEN	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)	
/MA/	DC	Aronberg, "Technique et al., eds., Raven (1988)	es", Computed Body T Press, New York, Ch	Tomography, Kee, napter 2, pp. 9-36	
000000000000000000000000000000000000000	DD	Miller, <i>Ultrasonics</i> detection of resonar by their second-harm	it cavitation bubble	es in a flow tube	
	DE	Dittrich, "Cardiac Muscle Ischemia and Infarction", The Second Annual International Symposium on Contrast Agents in Diagnostic Ultrasound, Atlantic City, NJ (May 7, 1996) (abstract)			
	DF	Pantely, "Intravenous Contrast Echocardiography-Tissue Imaging & Quantification of Coronary Blood Flow", The Second Annual International Symposium on Contrast Agents in Diagnostic Ultrasound, Atlantic City, NJ (May 7, 1996) (abstract)			
900000000000000000000000000000000000000	DG	Mammalian Cells", Me Expression Technolog	Keown, W. et al., "Methods for Introducing DNA into Mammalian Cells", Methods in Enzymology Vol. 185 "Gene Expression Technology", Goeddel, D., ed., Academic Press, Inc., New York, 1991, pp. 527-537.		
	DH	Wyber, J.A. et al., "The Introduction of Macromolecules into Cells Using Ultrasound", Pharmaceutical Research September 1995 (Supplement), 12(9), S-96, Biotec 2069.			
	DI	"Imarx' Ultrasound Agent Ready for Phase II Trials", Clinica World Medical Device News June 26, 1995, p. 17.			
	DJ	Tischer et al., "The Human Gene for Vascular Endothelial Growth Factor", J. Biol. Chem., 1991, 266(18), 11947-11954			
/MA/	DK	Siegel et al., "Percutaneous Coronary Ultrasonic Angioplasty: Initial Clinical Experience", Eur. Heart J., 1993, 14, 78, abstract 588			
EXAMINER	/Ma	aury Audet/	DATE CONSIDERED	6/27/08	

Page 49 of 71

				Page 49 of 71
	Form PTO-1449 Modified List of Patents and Publications		Docket No. 006086.00020	Serial No. TBA
Ci	ted	by Applicant sheets if necessary)	Applicant Evan C. Unger, et a	al .
		nt of Commerce ademark Office	Filing Date TBA	Group TBA
OTHER DOC	UMEN.	TS (Including Author,	Title, Date, Perti	nent Pages, Etc.)
/MA/	DL	Riessen et al., "Per using pure DNA appli balloon", Eur. Heart	ed to a hydrogel-co	pated angioplasty
/MA/	DM	Rennie et al. (eds.) September 1996, 126-		American,
Considered /// /MA/	DN	Orkin et al., "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy", December 7, 1995		
/MA/	DO	Schutt et al., "Osmotically Stabilized Microbubble Sonographic Contrast Agents", Acad. Radiol., Vol. 3, Suppl. 2, pp. S188-S190 (August 1996)		
/MA/	DP	Frézard, et al., "Permeability and stability in buffer and in human serum of fluorinated phospholipid-based liposomes", Biochimica et Biophysica Acta, 1192, pp. 61-70 (1994)		
/MA/	DQ	Frézard, et al., "Fluorinated Phospholipid-Based Vesicles as Potential Drug Carriers: Encapsulation/Sustaining of Drugs and Stability in Human Serum", Art, Cells, Blood Subs., and Immob. Biotech., 22(4), pp. 1403-1408 (1994)		
/MA/ ·	DR	Chang et al., "Semipermeable Aqueous Microcapsules", Canadian J. Of Phys. And Pharm., 1966, 44, 115-128		
/MA/ ·	DS	Chang, "Semipermeable Microcapsules", Science, 1964, 146, 524-525		
EXAMINER	/Mau	ry Audet/	DATE CONSIDERED	6/27/08

/MA/

EXAMINER

Page 50 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger, et al (Use several sheets if necessary) U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA : TBA OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Deasy, Microencapsulation and Related Drug Processes, /MA/ 1983, Vol. 20, Chs. 9 and 10, 195-240 (Marcel Dekker, Inc., NY) Yeung et al., "Preparation of Microencapsulated DU Liposomes", J. Microencapsulation, 1988, 5, 331-337 DV Mattrey et al., Gas Emulsions as Ultrasound Contrast Agents; Preliminary Results in Rabbits and Dogs, Investigative Radiology, Vol. 29, June Supp. 2, pp. S139-S141, 1994 Meltzer et al., Transmission of Ultrasonic Contrast DW Through the Lungs, Ultrasound in Med. & Biol., Vol. 7, No. 4, 377-384, 1981 DX | PR Newswire, April 1, 1986 DY Swanson et al., Chapter 22, "Enhancement Agents for Ultrasound: Fundamentals", Pharmaceuticals In Medical Imaging, pp. 682-687 (1990) Ophir et al., "Contrast Agents in Diagnostic Ultrasound", DZUltrasound in Med. & Biol., Vol. 15, No. 4, pp. 319-333 (1989) Jacobs, "Intraocular gas measurement using A-scan ultrasound", Current Eye Research, Vol. 5, No. 8, pp. 575-578 (1986) Lincoff et al., "Intravitreal Expansion of Perfluorocarbon Bubbles", Arch. Ophthalmol., 1980, 98, 1646 Lincoff et al., "Intravitreal Longevity of Three EC Perfluorocarbon Gases", Arch. Ophthalmol., 1980, 98, 1610-1611 Lincoff et al., "The Perfluorocarbon Gases in the Treatment of Retinal Detachment", Ophthalmology, 1983, 90(5), 546-551 EE Gardner et al., "A Survey of Intraocular Gas Use in North America", Arch. Ophthalmol., 1988, 106, 1188-1189

Unger et al., "Liposomal MR Contrast Agents", J. Liposome

DATE CONSIDERED 6/27/08

Research, 1994, 4(2), 811-834

/Maury Audet/

Page 51 of 71

				Page 51 of 71
For	m P	TO-1449 Modified	Docket No. 006086.00020	Serial No. TBA
	Cit	atent and Publications ed by Applicant al sheets if necessary)	Applicant Evan C. Unger, e	et al
	U.S. Department of Commerce Filing Date Group Patent and Trademark Office TBA TBA			
OTHER DO	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
/MA/	EG	Feinstein, Steven B., "Myc Echocardiography Today and College of Cardiology, 8(1	l Tomorrow," <i>Journ</i>	Imaging: Contrast al of the American
10000000000000000000000000000000000000	EH	Keller et al., "The Behavio Within the Microcirulation: A Contrast Echocardiography", C	. Basis for Their Us	e During Myocardial
90000000	EI	Lincoff et al., "Perfluoro- Retinal Tamponade," Arch Oph	n-butane: A Gas for thalmology, 101:4	r Maximum Duration 60-462 (1983)
	EJ	Remington's Pharmaceutical ed., Mack Publishing Compa 1242-1244 (1975)	<i>Sciences</i> , John H ny, Easton, PA, p	oover, managing p. 295-298; 736;
***************************************	EK	Handbook of Pharmaceutical Association, Washington, D of Great Britain, London,	.C. and The Pharm	aceutical Society
900000000000000000000000000000000000000	EL	Barnhart et al., "Characte Microspheres for Echocardi Investigative Radiology, 2	ography Contrast	Enhancement,"
355500000000000000000000000000000000000	EM	Levene et al., "Characteri Am., 87(Suppl.1):569-70,	zation of Albunex 1990.	M," J. Acoust. Soc.
000000000000000000000000000000000000000	EN	Miller et al., "Physiochemic General Anesthetics," J. Ame. 36(4):339-351 (1972)	cal Approaches to the r. Soc. Anesthesic	ne Mode of Action of ologists,
000000000000000000000000000000000000000	EO	"Properties and Application DuPont Freon Technical Buland Company, Wilmington, D	letin B-2 (E.I. D	uPont de Nemours
000000000000000000000000000000000000000	EP	"'Freon' Fluorocarbons: Properties and Applications" in DuPont Technical Bulletin G-1 (E.I. DuPont de Nemours and Company, Wilmington, DE), pp. 1-10 (1987)		
300000000000000000000000000000000000000	EQ	"Encyclopedia of Polymer S & Sons, New York, 1:164-16	cience and Engine	ering," John Wiley
V	ER	"Concise Encyclopedia of Polymer Science and Engineering," J. Kroschwitz, ed., John Wiley & Sons, New York, pp. 12-13 (1990)		
/MA/	ES Wheatley et al., "Contrast Agents for Diagnostic Ultrasound:			
EXAMINER	/Ma	ury Audet/	DATE CONSIDERED	6/27/08

Page 52 of 71

Fort	m P'	TO-1449 Modified	Docket No. 006086.00020	Serial No. TBA				
1	Cite	tent and Publications ed by Applicant l sheets if necessary)	Applicant Evan C. Unger, et al					
		artment of Commerce nd Trademark Office	Filing Date TBA	Group TBA				
OTHER DOC	UME	NTS (Including Author, Ti	tle, Date, Perti	nent Pages, Etc.)				
/MA/ 	ET		gically Distinct p53 Molecules ve Splicing", Mol. Cell Biol.,					
EU Arai et al., Complete Nucleotide Sequence of the Chromosomal Gene for Human IL-4 and its Expression", J Immunol., 1989, 142(1), 274-282								
	EV	Axel et al., "Transforma Prokaryotic and Eukaryot 1979, 8 (Supp. 3), 41						
000000000000000000000000000000000000000	EW	Barnes et al., "Gene The Review", Obstetrics and						
000000000000000000000000000000000000000	EX	Bratty et al., "The hamm ribozyme", Biochim. Biop						
	EY	Delius et al., "Extracor, Therapy", Lancet, 1995,		es for Gene				
	EZ	Fiddes et al., "Structure hormone and chorionic son Acad. Sci. USA, 1979, 76	matomammotripin",					
V	FA Foecking et al., "Powerful and versatile enhancer-promoter unit for mammalian expression vectors", Gene, 1986, 45, 101-105							
/MA/	FB	Gambihler et al., "Permeal L1210 Mouse Leukemia Cells Us Membr. Biol., 1994, 141,	ing Lithotripter Sho					
EXAMINER	/Mau	ry Audet/	DATE CONSIDERED 6/27/08					

Page 53 of 71

					rage 33 01 71			
	For	m P	TO-1449 Modified	Docket No. 006086.00020	Serial No. TBA			
		Cit	tent and Publications ed by Applicant al sheets if necessary)	Applicant Evan C. Unger, et al				
			partment of Commerce and Trademark Office	Filing Date TBA	Group TBA			
OTI	IER DO	CUME	NTS (Including Author, Ti	tle, Date, Perti	nent Pages, Etc.)			
. /1	<b>MA</b> /	FC	Giddings et al., "Select Regulation of the Ancest Liver", Mol. Endocrinol.	ral Rat Insulin	II Gene in Fetal			
	ations in the Amplified							
FE Kawabata et al., "Effect of second-harmonic superimposition in efficient induction of sonochemical effect", Ultrasonics Sonochem., 1996, 3, 1-5								
	20000000000000000000000000000000000000	FF	Lauer et al., "Towards a N Mediated DNA Transfer", J. C 226					
	200000000000000000000000000000000000000	FG	Maier et al., "Thymocytes Hypothalamic Luteinizing Horm Mol. Neurobiol., 1992, 1	one-Releaseing Hormo				
	000000000000000000000000000000000000000	FH	Mobley et al., "Low Energy Lithostar: Treatment Resureteral Calculi", J. Ur	ults with 19,962	Genal and			
	***************************************	FI	McKay, "Structure and fur ribozyme: An unfinished					
	100000000000000000000000000000000000000	FJ	Mitsudomi et al., "Detec Mutations in Bronchial B Lung Cancer", Chest, 199	iopsy Samples in	Patients with			
**		FK	Rubin et al., 31st Annua of Clinical Oncology, Ma					
1	/	FL	Thompson, "At Age 2, Gen Science, 1992, 258, 744-		a Growth Phase",			
/M	A/	FM	Trucco et al., "Rapid Dete $\beta$ -Alleles as Markers", $Diabe$					
EXA	MINER	/Ma	ury Audet/	DATE CONSIDERED	6/27/08			

Page 54 of 71

For	m P	TO-1449 Modified	Docket No. 006086.00020	Serial No. TBA				
	Cite	tent and Publications ed by Applicant l sheets if necessary)	Applicant Evan C. Unger, et al					
		artment of Commerce nd Trademark Office	Filing Date Group TBA					
OTHER DO	CUME	NTS (Including Author, Ti	tle, Date, Perti	nent Pages, Etc.)				
/MA/	FN	Tsujimoto et al., "Analyst protein products of bcl-2, th lymphoma", Proc. Natl. Aca	e gene involved in h	numan follicular				
/MA/	FO	of High Efficiency Genetic Tr	ic Direct Gene Transfer the Establishment Transformation System for Tobacco", Sci. 83-89 (Abstract attached)					
			·					
· · · · · · · · · · · · · · · · · · ·								
EXAMINER	/Maur	y Audet/	DATE CONSIDERED	6/27/08				

EXAMINER

/Maury Audet/

Page 55 of 71 Docket No. Form PTO-1449 Modified Serial No. 006086.00020 TBA List of Patents and Publications Cited by Applicant Applicant Evan C. Unger, et al (Use several sheets if necessary) Filing Date U.S. Department of Commerce Group Patent and Trademark Office TBA **TBA** U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. FP 4,276,885 7/7/81 /MA/ Tickner et al. 128 660 FQ 4,344,929 8/17/82 Bonsen et al. 424 15 Hilmann et al. FR 4,466,442 8/21/84 128 653 FS 4,533,254 8/6/85 Cook et al. 366 176 2/25/86 Feinstein FT4,572,203 128 661 FU 4,603,044 7/29/86 Geho et al. 424 4,675,310 6/23/87 Chapman et al. FV 514 6 FW 4,718,433 1/12/88 Feinstein 128 660 Gamble et al. 3/1/88 FΧ 4,728,575 428 402.2 /MA/ 4,728,578 3/1/88 Higgins et al. FY 428 462 FOREIGN PATENT DOCUMENTS Translation Examiner Document No. Date Country NO YES Initial /MA/ US85/01161 3/14/85 FZPCT Х 2/3/88 GA 2193095A Great Х Britain 0 361 894 4/4/90 EPA Х GB 2/27/86 PCT GC WO 86/01103 Х /MA/ GD 0 272 091 6/22/88 **EPO** X 6/27/08 DATE CONSIDERED

Page 56 of 71

							Pa	ige t	6 of	71	
		-1449 Modifie			ocket No 006086.0		Ser	cial A	No	•	
Ci	ted k	s and Publica by Applicant heets if nece		Applicant Evan C. Unger, et al							
U.S. Depar	rtmen d Tra	t of Commerce demark Office	e e		iling Da TBA	ate	Gro TE	-			
		U.	S. PAT	'EN'I	DOCUME	Ints					
Examiner Initial		Document No.	Date		Name			Cla	ass	Subo	class
/MA/	GE	4,737,323	4/12/8	8	Martin	et al.		264	<u> </u>	4.3	···········
200000	GF	4,774,958	10/4/8	8	Feinst	ein		128	3	660	.01
·	GG 4,863,717 9/5/8		9/5/89		Keana	_		424		9	
	GH	4,844,882	7/4/89	/89 Widder et al.			424		9		
	GI	4,192,859	3/11/8	/80 Mackaness et a		L .	424		5		
	GJ	4,921,706	5/1/90	5/1/90 Roberts e		s et al.		424		450	
	GK	4,790,891	12/13/	.2/13/88 Halliday et a		ay et al.		149	)	2	
0000	GL	4,162,282	7/24/7	9	Fulwyl	er et al.	,	264		9	
<u> </u>	GM	4,938,947	7/3/90		Nicola	u et al.		424		1.1	
/MA/	GN	4,900,540	2/13/9	0	Ryan e	t al.		424		9	
		FOR	EIGN PA	TEN	T DOCUM	ENTS					
Examiner Initial		Document No	•.	Dat	e	Country	•		Tra YE	nslat:	ion NO
/MA/	GO	WO 91/00086		01/	′10/91 ·	PCT (Fra	ance	)	X (abs	tract)	
	GP	WO 91/15244		10/	17/91	PCT	Х		Х		
E	GQ	0 338 971		10/	/25/89	EPO (Ger	man	у)	X (abs	tract)	
V	GR	0 107 559		05/	02/84	EPO (Fra	ance	)	X (abs	tract)	
/MA/	GS	WO 82/01642		5/2	27/82	PCT			х		
EXAMINER	/Maury	Audet/			DATE CO	NSIDERED	6/	27/	08		

EXAMINER

/Maury Audet/

Page 57 of 71 Form PTO-1449 Modified Docket No. Serial No. .006086.00020 TBA List of Patents and Publications Cited by Applicant Applicant (Use several sheets if necessary) Evan C. Unger, et al Filing Date U.S. Department of Commerce Group Patent and Trademark Office TBA TBA U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. /MA/ GT 4,544,545 10/1/85 Ryan et al. 424 1.1 4,331,654 5/25/82 GU Morris 424 38 GV 3,532,500 10/6/70 Priest et al. 96 91 4,310,506 1/12/82 Baldeschwieler et al. GW 424 1 Baldeschwieler et al. GX 4,310,505 1/12/82 424 1 4,586,512 5/6/86 Do-huu et al. GY 660 128 4,620,546 11/4/86 Aida et al. GZ 128 660 4,658,828 4/21/87 Dory 660 HA 128 HB 4,865,836 9/12/89 Long, Jr. 424 5 4,646,756 3/3/87 Watmough et al. /MA/ HC 128 804 FOREIGN PATENT DOCUMENTS Examiner Document No. Date Country Translation YES NO Initial /MA/ 6/1/89 HD WO 89/05040 PCT X /MA/ HE WO 86/00238 1/16/86 PCT X WO 90/04943 /MA/ HF 5/17/90 PCT Х /MA/ HG WO 80/02365 11/13/80 PCT X

DATE CONSIDERED

6/27/08

				<del>-</del>			Pag	ge 58	of 7	1	
		449 Modifie		D	ocket No 006086.0		Ser TB/	ial A	No.		
Ci	ted by	Applicant eets if nece		- 11	Applicant Evan C. Unger, et al						
		of Commerce		F	iling Da	ate	Gro TB/			•	
		Մ.	S. PA	TENT	DOCUME	NTS		-			
Examiner Initial		Document No.	Date		Name		Cla	ass	Sub	cla	ss
/MA/ HH 4,893,624 1/16/9				90	Lele		128	B	399		
HI 4,689,986 9/1/87				7	Carson	et al.	73		19		,
10000000000000000000000000000000000000	НJ	4,657,756	4/14/	87	Rasor	et al.	424		9		
	HK	4,781,871	11/1/	88	West I	II et al.	264		4.3		
	HL	4,957,656	9/18/	90	Cerny	et al.	252	2	311		
00000000	HM	4,830,858	5/16/	89	Payne	et al.	424	1	450		
	HN	4,684,479	8/4/8	7	D'Arri	go	252	2	307		
	НО	5,215,680	6/1/9	3	D'Arri	go	252	2	307		
V	HP	5,171,755	12/15	/92	Kaufma	n	514	1	759		
/MA/	HQ	4,898;734	2/6/9	0	Mathiow	itz et al.	424	1	426		
		FOR	EIGN P	ATEN	T DOCUM	ENTS					
Examiner Initial		Document 1	No.	Date	€ .	Country		Tran YES	slati	on	NO
/MA/	HR	WO 93/058:	19	1/4/	/93	PCT		Х			
	HS	WO 93/068	59	4/19	/15/93 PCT			Х			
	нт	WO 93/208	02	10/2	28/93	PCT		Х			
<u> </u>	HU	SHO 63-60	943	3/17	7/88	Japan		Х			
/MA/	HV	0 554 213	A1	8/4/	/93	EPO		Х			
EXAMINER	/Maury Au	ıdet/			DATE CO	NSIDERED	6/2	7/08			

EXAMINER

/Maury Audet/

Page 59 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patents and Publications Cited by Applicant Applicant Evan C. Unger, et al (Use several sheets if necessary) Filing Date U.S. Department of Commerce Group Patent and Trademark Office TBA TBA U. S. PATENT DOCUMENTS Examiner Document Date Name Class Subclass Initial No. /MA/ 10/11/88 Farmer et al. HW 4,776,991 264 4.3 HX 5,088,499 02/18/92 Unger 128 662.2 03/16/93 Abra et al. HY 5,194,266 424 450 Meybeck et al. 5,198,225 03/30/93 HZ-424 450 4,927,623 5/22/90 Long, Jr. 424 5 IA 4/17/84 Rasor et al. IB 4,442,843 128 660 Rasor et al. 4,681,119 7/21/87 128 660 IC 9/17/91 ID 5,049,388 Knight et al. 424 450 ΙE 4,315,514 2/16/82 Drewes et al. 128 653 /MA/ IF 4,569,836 2/11/86 Gordon 424 1/1 FOREIGN PATENT DOCUMENTS Examiner Document No. Date. Country Translation NO YES Initial 9/9/92 X 0 314 764 B1 EPO /MA/ IG 11/27/91 0 458 745 A1 EPO Х IH 0 216 730 1/23/91 **EPO** Х II IJ WO 93/13809 7/22/93 PCT X /MA/

DATE CONSIDERED

6/27/08

		<del></del>					Page	60	of 71	
		-1449 Modified		Docket No. 006086.00020			Seria TBA	1 1	No.	
Ci	ited :	by Applicant heets if neces		Apj <b>Ev</b>	1					
		at of Commerce		Fi:	ling Da	te .	Group TBA	)	-	_
<del>- :</del>		U.	S. PAT	ENT	DOCUMEN	TS				
Examiner Initial		Document No.	Date	-	Name		Class	s	Subc	lass
/MA/	IK	4,426,330	1/17/	84 Sears		260		403		
	IL	4,534,899	8/13/	85	Sears		260		403	
	IM	5,271,928	12/21/93		Schneid	er et al.	424		9	
00000000	IN	4,229,360	10/21/80		Schneider et al.		260		403	
8000	IO	B1 4,229,360	11/5/91		Schneid	er et al.	260		403	
000000000000000000000000000000000000000	ΙP	4,089,801	5/16/78		Schnei	Schneider			316	
	IQ	4,224,179	9/23/	80	Schnei	der	252	•	316	
	IR	4,987,154	1/22/	91	Long,	Jr.	514		772	
V	IS	5,219,538	6/15/	93	Henders	on et al.	428		402.2	2
/MA/	ΙΤ	5,316,771	5/31/	94	Barenho	lz et al.	424		450	
		FORE	IGN PA	TENT	DOCUME	INTS				
Examiner Initial		Document No.		Date	}	Country		I	anslat ES	ion N
/MA/	IU	WO 92/10166		6/25	5/92	PCT		х		
/MA/	IV	0 324 938		7/26	5/89	EPO		х		
/MA/	IW	0 231 091		8/5/	/87	EPO	· · ·	х		
EXAMINER	/Maury	Audet/			ATE CO	SIDERED	6/27/0	)8	-	

Páge 61 of 71

						Page	61 of 71			
		-1449 Modif		Docket No 006086.00		Seria TBA	l No.			
C	ited	s and Publi by Applican sheets if ne	t		Applicant Evan C. Unger, et al					
		nt of Commen ademark Off:		Filing Da	ate	Group TBA				
			U. S. PAT	ENT DOCUME	NTS					
Examiner Initial		Document No.	Date	Name		Class	s Subc	lass		
/MA/	/MA/ IX 5,195,520 3/23/93		Schlief	et al.	128	660.	02			
×	IY	3,873,564	3/25/75	Schneider et al.		260	309.	6		
***************************************	ΙZ	5,045,304	9/3/91	Schneide	Schneider et al.		9			
000000	JA	4,877,561	10/31/89	Iga et al.		264	4.3			
0000000	JB	5,213,804	5/25/93	Martin et al.		424	450			
	JC	4,895,719	1/23/90	Radhakri	Radhakrishnan		45			
000	JD	5,008,050	4/16/91	Cullis e	t al.	264	64 4.3			
000000	JE	5,114,703	5/19/92	Wolf et	al.	424	5			
V	JF	5,013,556	5/7/91	Woodle e	t al.	424	450			
/MA/	JG	5,000,960	3/19/91	Wallach		424	450			
		F	OREIGN PA	ATENT DOCUM	ENTS					
Examiner Initial		Document 1	No.	Date	Country		Transla YES	tion NO		
/MA/	JH	WO 92/172	12	10/15/92	PCT		Х			
	JI	WO 92/172	13	10/15/92	PCT		х			
30000000	JJ	WO 92/174	36	10/15/92	10/15/92 PCT		х	<b></b>		
	JК	WO 92/213	82	10/10/92	PCT		Х			
/MA/	JL	WO 93/177	18	9/16/93	PCT		х			
EXAMINER	/Maur	y Audet/		DATE CO	NSIDERED	6/27/	08			

							Page	62	of 71	
		-1449 Modif			Docket No 006086.000		Seria TBA		No.	
C:	ited	s and Publi by Applican sheets if ne	t		Applicant Evan C. U	nger, et al	L			
		nt of Commended			Filing Dat	te	Group TBA	,		-
			U. S. PAT	ľE	NT DOCUMEN	TS				
Examiner Initial		Document No.	Date		Name		Class	5	Subc	ass
/M <b>A</b> /	JМ	5,310,540	5/10/94		Giddey et	al.	424		9	
	JN	5,425,366	6/20/95		Reinhardt et al.		128		662.0	)2
	JO	4,775,522	10/4/88		Clark, Jr.		424		9	
	JР	4,731,239	3/15/88		Gordon		424		9	
00000000	JQ	5,350,571	9/27/94		Kaufman et al.		424		9	
000000000	JR	4,615,879	10/7/86		Runge et al.		424		9	
00000000000	JS	5,380,519	1/10/95		Schneider et al.		424	424		
0000000	JT	5,393,524	2/28/95		Quay		424		9	
V	JU	5,196,183	3/23/93		Yudelson	et al.	424		9	
/MA/	JV	4,996,041	2/26/91		Arai et a	1.	424		9	
		F	OREIGN PA	ΑT	ENT DOCUME	NTS		•		
Examiner Initial		Document	No.	D	ate	Country			ranslat: ES	ion NC
/MA/	JW	WO 94/167	39	8	/4/94	PCT		x		
V	JX	WO 95/070	72	3	3/16/95 PCT			- 0	artial claims nly	
/MA/	JY	EP 0 077	752 B1	3	/5/86	EP		- 0	artial claims nly	
EXAMINER	/	Maury Audet/			DATE CON	SIDERED	6/27/	80	,	

Page 63 of 71

		<del> </del>		——————————————————————————————————————	······································	······································	Page	03	01 /1		
		-1449 Modif			Docket No. 006086.000	I	Seria:	1 N	0.	:	
Ci	ted l	s and Publi by Applican heets if no	t		Applicant Evan C. Unger, et al						
		t of Commendemark Offi			Filing Dat	ie .	Group TBA				
•			U.S. PA	rei	NT DOCUMEN	TS					
Examiner Initial		Document No.	Date		Name		Class	3	Subcl	ass	
/MA/	JZ	5,147,631	9/15/92		Glajch et	al.	424		9		
10000000	KA	5,186,922	2/16/93		Shell et	al.	128		654		
200000000	КВ	4,428,924	1/31/84		Millington		424		4 .		
00000000	KC	5,413,774	5/9/95		Schneider et al.		424		9.51		
000000000000000000000000000000000000000	KD	5,409,688	4/25/95		Quay		424		9		
	KE	5,410,516	4/25/95		Uhlendorf et al.		367		7		
200000000000000000000000000000000000000	KF	5,305,757	4/26/94		Unger et	al.	128		662.0	2	
***************************************	KG	5,334,381	8/2/94		Unger		424		9		
V	KH	5,123,414	6/23/92		Unger		128		654		
/MA/	KI	5,433,204	7/18/95		Olson		128		661.0	8	
		F	OREIGN PA	ATI	ENT DOCUME	NTS					
Examiner Initial		Document 1	No.	D	ate	Country		Tra	nslati S	on NO	
· /MA/	КJ	WO 90/043	34	5,	/3/90	PCT		Х			
1000000	KK	WO 94/098	29	5	/11/94	PCT		Х			
	KL	WO 94/213	02	9	/29/94	PCT		Х			
	KM	WO 91/128	23	9	/5/91	PCT		X			
/M <b>A</b> /	KN	0 357 164	B1	1	0/23/91	EPO			rtial laims ly		
EXAMINER	/Mai	ury Audet/			DATE CON	SIDERED	6/27/0	08			

				<del>-</del>			Page	64	of 71		
		-1449 Modif		D	ocket No. 006086.000		Seria TBA	1 :	No.		
Ci	ted 1	by Applican heets if ne	t		Applicant Evan C. Unger, et al						
		t of Commendemark Offi		F	iling Dat	e	Group TBA				
			U. S. PAT	EN	r documen	rs		-			
Examiner Initial		Document No.	Date	1	Name .		Class	3	[Subc]	lass	
/MA/	ко	5,445,813	8/29/95	5	Schneider	et al.	424		9.51		
00000000	KР	5,141,738	8/25/92	F	Rasor et	al.	424		2		
000000000	KQ	4,981,692	1/1/91	1	Popescu e	t al.	424		422		
0	KR	5,149,319	9/22/92	7	Unger		604		22		
000000000	KS	5,209,720	5/11/93	Ţ	Unger		604		22		
	КT	5,228,446	7/20/93	Ţ	Unger et al.		128		662.0	)2	
0000	KU	5,230,882	7/27/93	1	Unger		424		9		
00000	κv	5,352,435	10/4/94	_ [	Unger		424		9		
V	KW	5,190,982	3/2/93	_   1	Erbel et	al.	521		56		
/MA/	KX	5,137,928	8/11/92	1	Erbel et	al.	521		56		
,		. <b>F</b>	OREIGN PA	ATE	NT DOCUME	NTS					
Examiner Initial		Document	No.	Da	te	Country			ranslat ES	ion NO	
/MA/	KY	0 320 433	A2	12	/2/88	EPO			bstract nly		
	KZ	0 467 031	A2	5/	5/13/91 EPO			х	v		
	LA	0 243 947		4/	4/29/87 EPO			Х			
	LB	WO 96/040	18	2/	15/96	PCT		Х			
/MA/	LC	WO 96/097	93	4/	4/96	PCT		X		<u> </u>	
EXAMINER	/M	aury Audet/			DATE CON	SIDERED	6/27/	80			

Page 65 of 71

· · · · · · · · · · · · · · · · · · ·							rage	00	of 71		
		-1449 Modif			Docket No 006086.000	1	Seria TBA	.1 1	No .		
Ci	ted 1	s and Publi by Applican heets if no	t		Applicant Evan C. Unger, et al						
		t of Commendemark Offi			Filing Dat TBA	ce	Group TBA				
			U.S. PAT	CEI	IT DOCUMEN	TS			- · · · ·		
Examiner Initial		Document No.	Date		Name		Class	5	Subcl	ass	
/MA/	LD	5,205,287	4/27/93		Erbel et	al.	128		632		
***************************************	LE	5,470,582	11/28/95	5	Supersaxo	et al.	424		489		
2000000000	LF	5,529,766	6/25/96		Klaveness	et al.	424		9.52		
300000000	LG	5,487,390	1/30/96		Cohen et al.		128		662.0	)2	
000000000	LH	5,531,980	7/2/96		Schneider et al.		424		9.52		
000000000	LI	5,536,489	7/16/96		Lohrmann et al.		424	424			
000000000000000000000000000000000000000	LJ	5,536,490	7/16/96		Klaveness	et al.	424		9.52		
-	LK	5,315,997	5/31/94		Widder et	al.	128		653.3	}	
V	LL	5,469,854	11/28/95	5	Unger et	al.	128		662.0	2	
Consid./MA/	LM	5,505,932	4/9/96		Grinstaff	et al.	424		9.3		
					·						
•		F	OREIGN PA	ATI	ENT DOCUME	NTS					
Examiner Initial		Document 1	No.	D	ate	Country			anslat: ES	ion NO	
/MA/	LN	WO 95/065	18	3	/9/95	PCT		х			
	LO	EP 441,46	8 A2	8	/14/91	EPO		х			
	LP	EP 357,16	3 A1	3	/7/90	EPO		х			
V	LQ	EP 0 727	225 A2	8	/21/96	EPO		Х			
/MA/	LR	WO 94/064	77	0	3/31/94	PCT		X			
EXAMINER	/Mau	ury Audet/			DATE CON	SIDERED	6/27/	08			

Page 66 of 71 Form PTO-1449 Modified Docket No. Serial No. 006086.00020 TBA List of Patent and Publications Applicant Cited by Applicant Evan C. Unger, et al (Use several sheets if necessary) U.S. Department of Commerce Group Filing Date Patent and Trademark Office TBA-TBA` U. S. PATENT DOCUMENTS Examiner Document. Date. Name Class Subclass Initial No. Consid.MA 5,540,909 7/30/96 Schutt LS 424 9.52 Consid. LT 3/5/96 Kirkland 5,496,535 424 9.37 LU 5,344,930 9/6/94 Riess et al. 544 84 /MA/ 10/1/96 LV5,560,364 Porter 128 662.02 5,562,893 10/08/96 Lohrmann LW 424 9.52 Klaveness et al. LX5,567,413 10/22/96 424 9.51 Schneider et al. LY 5,567,414 10/22/96 424 9.52 LZ5,567,765 10/22/96 Moore et al. 524 801 09/03/96 MA 5,552,133 Lambert et al. 424 9.52 /MA/ FOREIGN PATENT DOCUMENTS Examiner Document No. Date Country Translation Initial YES NO /MA/ MB WO 95/23615 09/08/95 PCT Х 62 286534 12/87 MC Japan X (Abstract Only) 10/66 Great Britain MD 1,044,680 X 11/21/96 PCT Х ME WO 96/36286 7/23/92 PCT X MF WO 92/11873 /MA/ (Abstract Only) 6/27/08 DATE CONSIDERED **EXAMINER** /Maury Audet/

			· .		Page 6	57 of 71		
F	orm	PTO-1449 Modifi	.ed	Docket No. 006086.00020	Serial TBA	No.		
	Cit	atent and Publiced by Applicant al sheets if ne	•	Applicant Evan C. Unger, et al				
		partment of Command Trademark O		Filing Date	Group TBA			
		υ.	S. PATENT	DOCUMENTS				
Examiner Initial		Document No.	Date	Name	Class	Subclass		
/MA/	MG	3,015,128	01/02/62	Sommerville et al.	18	2.6		
50500000	MH	3,594,326	07/20/71	Himmel et al.	252	316		
***************************************	MI	3,732,172	05/08/73	Herbig et al.	252	316		
200000000	MJ	3,945,956	03/23/76	Garner	260	2.5B		
0000000	MK	4,108,806	08/22/78	Cohrs et al.	521	54		
000000000	ML	4,179,546	12/18/79	Garner et al.	521	56		
	MM	4,420,442	12/13/83	Sands	264	13		
	MN	4,421,562	12/20/83	Sands et al.	106	75		
<u>V</u>	МО	4,540,629	09/10/85	Sands et al.	428	402		
/MA/	MP	4,549,892	10/29/85	Baker et al.	65	21.4		
			·					
		FORE	IGN PATENT	DOCUMENTS				
Examiner Initial		Document No.	Date	Country	Trai YES	nslation NO		
/MA/	MQ	WO 90/01952	3/8/90	PCT	X (Abstract Only)			
0000000	MR	AU-B-30351/89	3/18/93	Australia	Х			
XXXXX	MS	WO 93/00933	01/21/93	PCT	Х			
000000000	MT	WO 94/28780	12/22/94	PCT	Х			
V	MU	WO 89/02464	03/23/89	PCT	Х			
/MA/	MV	AU 641363	03/08/90	Australia	х			
EXAMINER	/5.3	ury Audet/		DATE CONSIDERED	6/27/	08		

Page 68 of 71

				Page 68 of 71			
Form PTO-1449 Modified				Docket No. 006086.00020	Serial No.		
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Evan C. Unger, et al			
U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA	Group TBA			
		υ.	S. PATENT	DOCUMENTS	·		
Examiner Initial		Document No.	Date	Name	Class	Subclass	
/MA/	MW	5,019,370	05/28/91	Jay et al.	424	4	
))))))))))))))))))))))	MX	5,205,290	04/27/93	Unger	128	653.4	
000000000	MY.	4,822,534	04/18/89	Lencki et al.	264	4.3	
	MZ	3,293,114	12/20/66	Kenaga et al.	162	168	
	NA	3,479,811	11/25/69	Walters	57	153	
2000000000	NB	3,488,714	01/06/70	Walters et al.	161	161	
200000000	NC	3,615,972	10/26/71	Morehouse et al.	156	79	
30000000	ND	5,078,994	01/07/92	Nair et al.	424	501	
000000000	NE	4,138,383	02/06/79	Rembaum et al.	260	29.7H	
V	NF	4,789,501	12/06/88	Day et al.	252	645	
/MA/	NG	3,960,583	06/01/76	Netting et al.	106	122	
		FORE	EIGN PATENT	DOCUMENTS			
Examiner Initial		Document No.	Date	Country	Tra: YES	nslation NO	
<u> </u>			·				
					<u></u>		
EXAMINER /Maury Audet/				DATE CONSIDERED 6/27/08			

			Page 69 of 71			
Form PTO-1449 Modified			Docket No. 006086.00020	Serial TBA	No.	
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Evan C. Unger, et al		
U.S. Department of Commerce Patent and Trademark Office			Filing Date TBA	Group TBA		
		υ.	S. PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/MA/	NH	5,362,478	11/8/94	Desai et al.	424	9
2000000	NI	5,281,408	1/25/94	Unger	424	4
000000	NJ	5,456,900	10/10/95	Unger	424	9.4
00000000	NK	5,527,521	6/18/96	Unger	424	93
0000000000	NL	5,547,656	8/20/96	Unger	424	9.4
00000000	МИ	5,358,702	10/25/94	Unger	424	9
000000	NN	4,985,550	1/15/91	Charpiot et al.	536	18.4
000000	ИО	5,498,421	3/12/96	Grinstaff et al.	424	450
	NP	4,993,415	2/19/91	Long	128	653 A
	NQ	5,573,751	11/12/96	Quay	424	9.52
/MA/	NR	5,606,973	03/04/97	Lambert et al.	128	662.02
		FORE	IGN PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Trar YES	nslation NO
EXAMINER /Maury Audet/				DATE CONSIDERED	6/27/	08

Page 70 of 71

					Page	70 of 71
Form PTO-1449 Modified				Docket No. 006086.00020	Serial TBA	No.
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Evan C. Unger, et al		
U.S. Department of Commerce Patent and Trademark Office			Filing Date	Group TBA		
		U.	S. PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/MA/	NS	5,558,094	09/24/96	Quay	128	662.02
999999	NT	5,558,853	09/24/96	Quay	424	9.5
000000000000000000000000000000000000000	NU	5,558,854	09/24/96	Quay	424	9.52
0000000	NV	5,558,855	09/24/96	Quay	424	9.5
000000000000000000000000000000000000000	NW	5,595,723	01/21/97	Quay	424	9.5
	NX	5,585,112	12/17/96	Unger et al.	424	450
2000	NY	5,556,610	09/17/96	Yan et al.	424	9.52
***************************************	NZ	5,578,292	11/25/96	Schneider et al.	424	9.51
	OA	4,933,121	06/12/90	Law et al.	264	4.3
00000	ОВ	5,315,998	05/31/96	Tachibana et al.	128	660.01
000	ос	5,620,689	04/15/97	Allen et al.	424	178.1
0000	OD	5,643,553	07/01/97	Schneider et al.	424	9.52
	OE	5,502,094	03/26/96	Moore et al.	524	145
V	OF	4,265,251	05/05/81	Tickner	128	660
/MA/	OG	5,354,549	10/11/94	Klaveness et al.	424	3
		FORI	EIGN PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Translation YES NO	
EXAMINER	/8.5	ry Audot/		DATE CONSIDEREI	0 6/27/	0.8
EXAMINER /Maury Audet/			DATE CONDIDERED	0/2/		

Page 71 of 71

				T	Page 7	'1 of 71
Form PTO-1449 Modified				Docket No. 006086.00020	Serial TBA	No.
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)				Applicant Evan C. Unger, et al		
U.S. Department of Commerce Patent and Trademark Office			Filing Date	Group TBA		
		U.	S. PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Name	Class	Subclass
/MA/	ОН	5,501,863	03/26/96	Rössling et al.	424	489
00000000	OI	5,605,673	02/25/97	Schutt et al.	424	9.51
	· OJ	5,626,833	05/06/97	Schutt et al.	424	9.52
90000000	ОК	5,639,443	06/17/97	Schutt et al.	424	9.52
	OL	3,650,831	03/21/72	Jungermann et al.	134	27
	ОМ	3,968,203	07/06/76	Spitzer et al.	424	47
	ON	4,027,007	05/31/77	Messina	424	46
200000000	00	5,205,290	04/27/93	Unger	128	653.4
00000000	OP	5,562,892	10/08/96	Kirk et al.	423	555 .
V	ΟQ	5,276,145	01/04/94	Bottenstein	530	399
Consid.	OR	5,532,108	07/02/96	Volgelstein	435	240.2
/M <i>A</i>		5,539,094	07/23/96	Reed et al.	536	23,5
/M	TO A	5,542,935	08/06/96	Unger et al.	604	190
Consid.	OU	5,558,092	09/24/96	Unger et al.	128	660.03
		FOR	EIGN PATENT	DOCUMENTS		
Examiner Initial		Document No.	Date	Country	Translation YES NO	
		·		DAME CONCERNS	PINE	/00
EXAMINER /Maury Audet/			DATE CONSIDERED 6/27/08			